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FORM PTO-1390 DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV 1-98)		ATTORNEY'S DOCKET NO. 4050.001100
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>09/787840</b>
		UNKNOWN
INTERNATIONAL APPLICATION NO. PCT/AU99/00812	INTERNATIONAL FILING DATE September 24, 1999	PRIORITY DATE CLAIMED September 25, 1998
TITLE OF INVENTION Auxiliary for Amide Bond Formation		
APPLICANT(S) FOR DO/EO/US Mark Leslie SMYTHE and Wim Denise Frans MEUTERMANS		
Applicants herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</li> <li><input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li><input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)).               <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau); PCT cover page; 77 pages of text, Figure 1-3 on 2 sheets, and 3 pages of Search Report.</li> <li><input checked="" type="checkbox"/> has been transmitted by the International Bureau.</li> <li><input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li><input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li><input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).               <ol style="list-style-type: none"> <li><input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li><input checked="" type="checkbox"/> have been transmitted by the International Bureau.</li> <li><input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li><input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li><input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li><input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li><input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>		
<b>Items 11 to 16 below concern document(s) or information included:</b> <ol style="list-style-type: none"> <li><input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li><input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included and the fee in incorporated within the attached check.</li> <li><input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment (with Exhibits A, B and C); please calculate the filing fee based upon the claims in this amendment. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li><input type="checkbox"/> A substitute specification.</li> <li><input type="checkbox"/> Power of attorney and/or address letter.</li> <li><input checked="" type="checkbox"/> Other items or information: 1. PCT Request; 2. International Search Report; 3. The references (6) cited in International Search Report; 4. PCT Demand; 5. Written Opinion; 6. Response to Written Opinion; 7. International Preliminary Examination Report (IPER); 8. Notification Concerning Submission of Transmittal of Priority Document; and 9. Postcard</li> </ol>		

## CERTIFICATE OF EXPRESS MAILING


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DATE OF DEPOSIT March 22, 2001

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*Kathy Danas*

Kathy Danas

U.S. APPLICATION NO. <b>09/787840</b> (37 CFR 1.53) UNKNOWN	INTERNATIONAL APPLICATION NO. PCT/AU/99/00812	ATTORNEY'S DOCKET NUMBER 4050.001100
17. <input checked="" type="checkbox"/> The following fees are submitted: <b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1,000.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$760.00  international preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00  International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$ 100.00  <div style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></div>		<b>CALCULATIONS</b> PTO USE ONLY
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$ 130.00
Claims	Number Filed	Number Extra
Total Claims	38 - 20 =	18
Independent Claims	13 - 3 =	10
Multiple dependent claim(s) (if applicable)		+ \$260.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>		\$ 2,254.00
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement is no longer necessary.		\$ 1,127.00
<b>SUBTOTAL =</b>		\$ 1,127.00
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		\$ .00
<b>TOTAL NATIONAL FEE =</b>		\$ 1,127.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		\$ .00
<b>TOTAL FEES ENCLOSED =</b>		\$ 1,127.00
		Amount to be refunded: \$ .00
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a. <input checked="" type="checkbox"/> A check in the amount of \$1,127.00.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0786/4050.001100. A duplicate copy of this sheet is enclosed.  NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.		
SEND ALL CORRESPONDENCE TO: Shelley P.M. Fussey WILLIAMS, MORGAN & AMERSON 7676 Hillmont, Suite 250 Houston, TX 77040 (713) 934-7000 Date: March 22, 2001		
<div style="text-align: right;">             SIGNATURE            Shelley P.M. Fussey            NAME:            39,458            REGISTRATION NUMBER:         </div>		

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Smythe *et al.*

Serial No.: Unknown  
PCT/AU99/00812

Filed: March 23, 2001  
Intl. Filing date: September 24, 1999  
Priority date: September 25, 1998

For: AUXILIARY FOR  
AMIDE BOND FORMATION

§  
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§ Group Art Unit: Unknown  
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§ Examiner: Unknown  
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§ Atty. Dkt.: 4050.001100  
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March 22, 2001  
Date

*Kathy Danas*  
Kathy Danas

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents  
**BOX PCT**  
Washington, D.C. 20231

Sir:

The present document is a Preliminary Amendment filed in conjunction with the nationalization of International Patent Application PCT/AU99/00812. After entry into the U.S. national stage, and assurance of a U.S. filing date, entry of the following amendments is respectfully requested. Any omitted fees are authorized to be deducted from Williams, Morgan & Amerson Deposit Account No. 50-0786/4050.001100.

## AMENDMENT

### **In the Specification:**

Prior to page 1, where the text of the application begins, please delete the double-sided cover page from the PCT stage if necessary.

At page 1, after the title, in the current single-paragraph that constitutes the entire Section of the Application pertaining to "Cross-reference to Related Applications", please delete the existing section and replace such deleted section with the following, two-paragraph replacement section:

The present application is a nationalization of International Patent Application PCT/AU99/00812, filed September 24, 1999, which claims priority to Australian Patent Application PP 6165, filed September 25, 1998.

### **FIELD OF THE INVENTION**

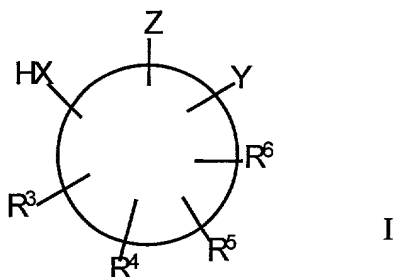
This invention relates to novel auxiliaries for the formation of amide bonds, and to the use of these auxiliaries in a variety of synthetic applications. In particular, the auxiliaries of the invention are useful in the synthesis of peptides and peptidomimetic compounds, and in particular for the synthesis of "small cyclic peptides", so-called "difficult" peptide sequences, and large peptides with a native peptide backbone. The auxiliaries of the invention are also useful in the synthesis of peptides or of C-terminal modified peptides, and in on-resin cyclisation of organic molecules, ligating chemistry, backbone substitution and as backbone linkers. In a particularly preferred embodiment, the invention provides auxiliaries that can be removed by photolysis.

After page 77, please start another page (78), and insert the following text of the Abstract, as taken from the priority application as filed and on first cover page from the PCT application:



Please amend pending claims 1, 3, 5, 6, 7, 9, 11, 16, 17, 19, 20, 21, 22, 24, 26, 29, 30, 32 and 34, so that the rewritten claims read as follows:

1. (Amended) A method of
  - a) synthesis of a linear or cyclic peptide,
  - b) synthesis of a C-terminal modified peptide, or
  - c) on-resin cyclisation of a peptide molecule, comprising the step of linking a cyclic aromatic or alkyl auxiliary compound of General Formula I to an amine nitrogen atom



in which the ring optionally comprises one or more heteroatoms selected from the group consisting of nitrogen, oxygen, and sulphur;

is of 5 to 7 atoms;

comprises 3 carbon atoms substituted respectively by XH, Z, and Y; and

is additionally substituted by groups R<sup>3</sup> and R<sup>4</sup> when the compound is a 5-membered ring,

or is additionally substituted by groups R<sup>3</sup>, R<sup>4</sup>, and R<sup>5</sup> when the compound is a

6-membered ring, or is additionally substituted by groups  $R^3$ ,  $R^4$ ,  $R^5$  and  $R^6$  when the compound is a 7-membered ring, in which

X is oxygen, sulphur,  $\text{CH}_2\text{O}-$ , or  $\text{CH}_2\text{S}-$ ;

Y is an electron-withdrawing group;

Z is any group which allows the formation of a covalent carbon-nitrogen bond;  
and

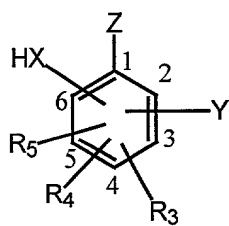
$R^3$ ,  $R^4$  and  $R^5$  are each independently hydrogen, alkyl, substituted alkyl, aryl, substituted aryl, arylalkyl, substituted arylalkyl, heteroaryl, substituted heteroaryl, alkoxy, aryloxy, XH or Y, or a covalent linkage to a solid support, and

in which  $R^3$  and  $R^4$ ,  $R^4$  and  $R^5$ , or  $R^5$  and  $R^6$  can optionally together with the ring form a 5-, 6-, or 7-membered ring, thereby to facilitate conversion of the amine to an amide.

3. (Amended) A method according to claim 1, in which Z is an aldehyde, alkylalcohol, alkylhalide, or a ketone, or is a halogenated  $\text{C}_{1-3}$ alkyl group.

5. (Amended) A method according to claim 4, in which the halogen is iodine, bromine or chlorine.

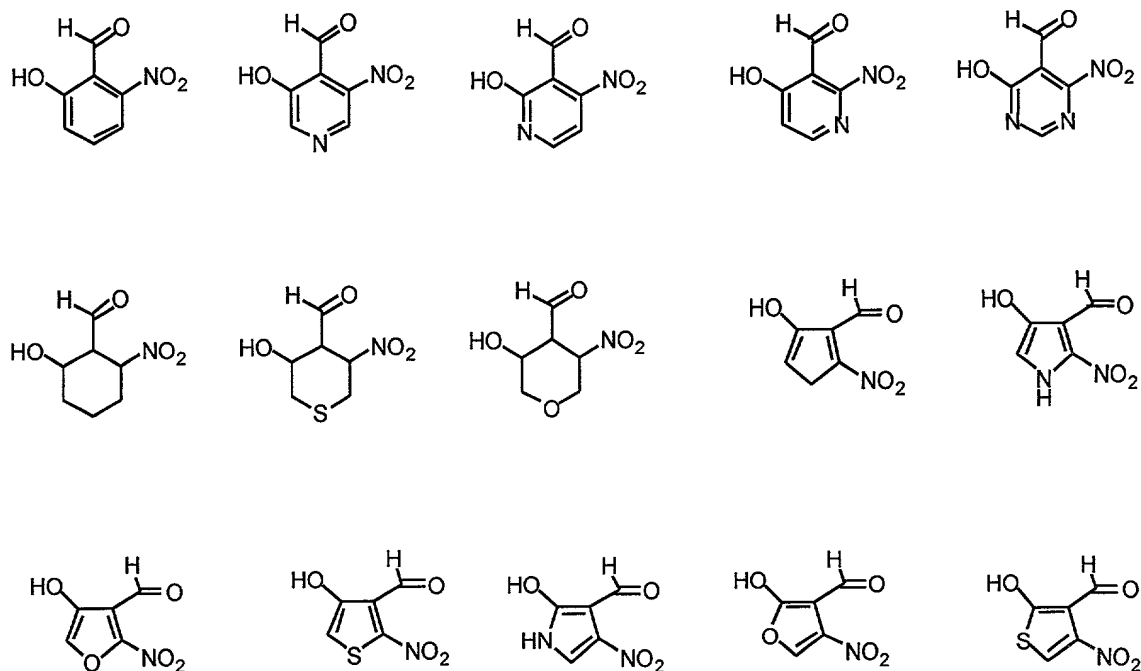
6. (Amended) A method according to claim 1, in which the auxiliary compound is of general Formula II



## II.

7. (Amended) A method according to claim 1, in which the XH group is at position 2 or 3 in General Formula I or General Formula II, and Y is at any other position.
9. (Amended) A method according to claim 7, in which Y is at position 6.
11. (Amended) A method according to claim 1, in which the auxiliary compound is selected from the group consisting of





16. (Amended) A method according to claim 1, in which R<sup>3</sup>, R<sup>4</sup>, R<sup>5</sup> and R<sup>6</sup> are independently selected from the group consisting of hydrogen, alkyl, aryl, heteroaryl, hydroxy, alkoxy, aryloxy, and a covalent linkage to a solid support.

17. (Amended) A method of synthesis of a cyclic peptide, comprising the steps of
- synthesising a linear peptide to be cyclised,
  - linking an auxiliary compound as defined in claim 1 to a desired primary amine of the linear peptide,
  - activating a desired carboxylic acid to effect cyclisation, and where necessary inducing ring contraction, and optionally
  - removing the auxiliary compound after complete N acylation.

19. (Amended) A method according to claim 17, in which the auxiliary compound is of General Formula III, and the auxiliary compound is removed by photolysis.

20. (Amended) A method according to claim 17, in which steps a) to d) are performed on a solid support, and are followed by cleavage of the cyclic product from the solid support, and if desired, removal of side chain protecting groups.

21. (Amended) A method according to claim 17, in which activation of the C-terminal carboxylic acid is performed in the presence of an auxiliary compound of General Formula III, and the cyclisation is performed by attaching the auxiliary compound to the desired amine via the Z-group.

22. (Amended) A method of synthesis of a large peptide with a native peptide backbone, comprising the steps of

- a) synthesising a set of peptide fragments to be linked to form a large peptide,
- b) linking an auxiliary compound as defined in claim 1 to the primary amine of the first peptide fragment,
- c) activating the carboxylic acid of the second peptide fragment,
- d) adding the second peptide fragment to the first peptide fragment and forming a peptide bond between the two fragments, and optionally
- e) removing the auxiliary compound after N acylation is complete.

24. (Amended) A method of synthesis of a difficult peptide sequence, comprising the steps of
- linking an auxiliary compound as defined in claim 1 to one or more nitrogen atoms in peptide bonds of a peptide linked to a solid support,
  - synthesising the complete peptide using standard solid phase synthesis methods, and optionally
  - when synthesis is complete, removing the auxiliary compound.

26. (Amended) A method of backbone linkage for synthesis of a linear peptide, comprising the steps of

- using an auxiliary compound as defined in claim 1 as a linker linking the  $\alpha$ -nitrogen of an acid residue in the desired peptide to a solid support,
- assembling the linear peptide using standard solid phase peptide synthesis methods, and optionally
- removing the side chain protecting group(s), and/or
- cleaving the peptide from the solid support.

29. (Amended) A method according to claim 26, in which Y is nitro in position 6, XH is in position 2, and cleavage is performed by photolysis.

30. (Amended) A method of on-resin cyclisation of a linear peptide, comprising the steps of
- using an auxiliary compound as defined in claim 1 as a linker linking the  $\alpha$ -nitrogen of an amino acid residue in the desired peptide to a solid support,

- b) synthesising a linear peptide on a solid support, using standard solid phase peptide synthesis methods,
- c) deprotecting the desired amine and carboxylic acid groups,
- d) activating the carboxylic acid group to perform cyclisation, and optionally
- e) deprotecting amino acid side chain groups, and/or
- f) cleaving the cyclic peptide from the solid support.
32. (Amended) An auxiliary compound according to the General Formula as defined in claim 1, linked to a support suitable for solid phase peptide synthesis.
34. (Amended) A kit for use in synthesis of a peptide, cyclic peptide, comprising:
- a) an auxiliary compound as defined in claim 1, or
- b) an auxiliary compound as defined in claim 1, linked to a solid support, together with one or more reagents for solid phase peptide synthesis.

Please add new claims 35-38, as follows:

35. (New) A method according to claim 15, in which  $R^3$ ,  $R^4$ ,  $R^5$  and  $R^6$  are independently selected from the group consisting of hydrogen, alkyl, aryl, heteroaryl, hydroxy, alkoxy, aryloxy, and a covalent linkage to a solid support.
36. (New) An auxiliary compound according to General Formula II as defined in claim 6.
37. (New) An auxiliary compound according to General Formula III as defined in claim 12.

38. (New) An auxiliary compound according to General Formula IV as defined in claim 13.

## REMARKS

### **I. Nationalization**

This application represents the U.S. national stage of International Patent Application PCT/AU99/00812, filed September 24, 1999, which claims priority to Australian Patent Application PP 6165, filed September 25, 1998.

As the text of the International Application was transmitted by the International Bureau, an additional copy is not required to satisfy 35 U.S.C. § 371(c)(2). Nonetheless, for the Examiner's convenience, a copy of international application PCT/AU99/00812 is enclosed in the form of the published PCT Application WO 00/18789.

Although clarifying amendments were made to the claims 1 and 14 and to page 13 of the specification during PCT examination, these amendments were entered by the examiner and therefore do not constitute new matter. The amendments are also reflected in the IPER, which is completely positive for all claims, have been transmitted to designated offices and are therefore not reproduced herein.

The claims at the end of the PCT stage, which the IPER indicates to be novel and inventive, therefore form the basis for the present claim amendments, which are of a procedural nature only and place the claims in proper form for entry into the U.S. national stage.

Should formal amendments to the specification be necessary to conform to U.S. practice, Applicants seek to introduce such amendments into the present specification by, *e.g.*, deleting the PCT cover page, providing the Abstract as a separate page, and deleting the PCT header. The amended abstract included herewith complies with the new rules, as it is less than 200 words.

Priority is also properly claimed by an amendment at page 1.

## **II. National Stage Claims**

After according a U.S. filing date, and **before** calculating the filing fee, entry of the foregoing claim amendments and additional claims is respectfully requested.

The changes to the claims are being made solely to conform to U.S. practice and to correct minor typographical errors. The revised and new claims are all fully supported by the specification and claims of the international application and do not in any way constitute new matter.

## **III. Status of the Claims**

At the conclusion of the PCT examination phase, claims 1-34 were pending (see IPER as well as PCT publication, both enclosed). The IPER finds each of claims 1-34 to have unity of invention, and is completely favorable regarding the novelty, inventive step and industrial applicability of all claims.

Presently, no claims have been canceled. Claims 1, 3, 5-7, 9, 11, 16, 17, 19-22, 24, 26, 29, 30, 32 and 34 have been amended to remove multiple dependencies for U.S. practice and to correct very minor typographical oversights. Claims 35-38 have been added, which are fully supported by the original specification. Claims 1-38 are therefore in the case.

## **IV. Support for the Claims**

Aside from removing the multiple dependencies throughout, and introducing very minor corrections, current claims 1-34 represent those at the conclusion of PCT examination essentially in unamended form.

Most of the changes to the revised claims simply remove the multiple dependencies, and such changes are clearly supported by each claim itself. In addition, claim 1 has been revised to

remove an inadvertent period after step (c) and claim 16 has been revised to remove an inadvertent space after R<sup>4</sup>.

New dependent method claim 35 is supported by original claims 15 and 16. New claims 36, 37 and 38 reflect certain preferred auxiliary compounds of the overall invention, as supported throughout the original application and by claims 32 and 6, 12 and 13, respectively.

It will therefore be understood that no new matter is encompassed by any of the amended or newly presented claims.

**V. Compliance with 37 C.F.R. § 1.121**

Copies of the pending claims are attached hereto as **Exhibit A** and **Exhibit B**. In accordance with 37 C.F.R. § 1.121, the claims have been labeled as "(Amended)" or "(New)", where appropriate. **Exhibit A** provides a clean copy of the pending claims, whereas **Exhibit B** shows the changes with brackets and underlining.

The proper claim for priority has been timely introduced into the specification by amendment of the opening paragraph at page 1. An Abstract of less than 200 words is also introduced into the specification by amendment as a separate page.

The amendments to the opening paragraph at page 1 of the specification and the abstract have been made as "Replacement Sections" in accordance with 37 C.F.R. §§ 1.121(b)(2), 1.77(b)(2) and 1.77(b)(10). This is proper under 37 C.F.R. §§ 1.121(b)(2)(i)(ii)(iii), as the specification contains section headings as provided in 37 C.F.R. § 1.77, and the amendments include the reference, replacement section in clean form and another version of the replacement section separate from the amendment marked up to show all changes (**Exhibit C**).

**VI. Fees and Formalities**

The national filing fee and claim fees are included herewith. The fees have been calculated **after** the present changes to remove the multiple dependencies throughout the claims. Any omitted fees should be deducted from Williams, Morgan & Amerson Deposit Account No. 50-0786/4050.001100.

Applicants are entitled to small entity status. An executed declaration to this effect is no longer required by the rules of practice.

**VII. Conclusion**

Importantly, the IPER issued for the international application holds that all claims meet the requirements for industrial applicability, novelty and inventive step. This is compelling evidence that the present claims have utility and define a novel and non-obvious invention that should be progressed to allowance in the United States.

In light of the positive IPER, Applicants submit that the present case is in condition for allowance and such favorable action is respectfully requested. Should the Examiner have any questions or comments, a telephone call to the undersigned Applicants' representative is earnestly solicited.

Respectfully submitted,



Shelley P.M. Fussey  
Reg. No. 39,458  
Agent for Applicant

WILLIAMS, MORGAN & AMERSON, P.C.  
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(713) 934-4079

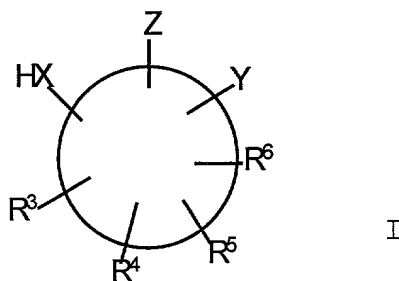
Date: March 22, 2001



## EXHIBIT A - PENDING CLAIMS

## THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS:

1. (Amended) A method of
  - a) synthesis of a linear or cyclic peptide,
  - b) synthesis of a C-terminal modified peptide, or
  - c) on-resin cyclisation of a peptide molecule, comprising the step of linking a cyclic aromatic or alkyl auxiliary compound of General Formula I to an amine nitrogen atom



in which the ring optionally comprises one or more heteroatoms selected from the group consisting of nitrogen, oxygen, and sulphur;

is of 5 to 7 atoms;

comprises 3 carbon atoms substituted respectively by XH, Z, and Y; and

is additionally substituted by groups  $R^3$  and  $R^4$  when the compound is a 5-membered ring, or is additionally substituted by groups  $R^3$ ,  $R^4$ , and  $R^5$  when the compound is a 6-membered ring, or is additionally substituted by groups  $R^3$ ,  $R^4$ ,  $R^5$  and  $R^6$  when the compound is a 7-membered ring, in which

X is oxygen, sulphur,  $\text{CH}_2\text{O}-$ , or  $\text{CH}_2\text{S}-$ ;

Y is an electron-withdrawing group;

Z is any group which allows the formation of a covalent carbon-nitrogen bond; and

$R^3$ ,  $R^4$  and  $R^5$  are each independently hydrogen, alkyl, substituted alkyl, aryl, substituted aryl, arylalkyl, substituted arylalkyl, heteroaryl, substituted heteroaryl, alkoxy, aryloxy, XH or Y, or a covalent linkage to a solid support, and

in which  $R^3$  and  $R^4$ ,  $R^4$  and  $R^5$ , or  $R^5$  and  $R^6$  can optionally together with the ring form a 5-, 6-, or 7-membered ring, thereby to facilitate conversion of the amine to an amide.

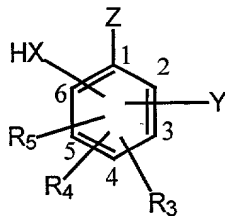
2. A method according to claim 1, in which Y is nitro, ketone, carboxylic ester, amide, nitrile, sulfonamide, sulfoxide, sulfone, sulfonate, fluoride, chloride, bromide or iodide.

3. (Amended) A method according to claim 1, in which Z is an aldehyde, alkylalcohol, alkylhalide, or a ketone, or is a halogenated  $C_{1-3}$ alkyl group.

4. A method according to claim 3, in which the halogenated alkyl group is a methyl group.

5. (Amended) A method according to claim 4, in which the halogen is iodine, bromine or chlorine.

6. (Amended) A method according to claim 1, in which the auxiliary compound is of general Formula II



II.

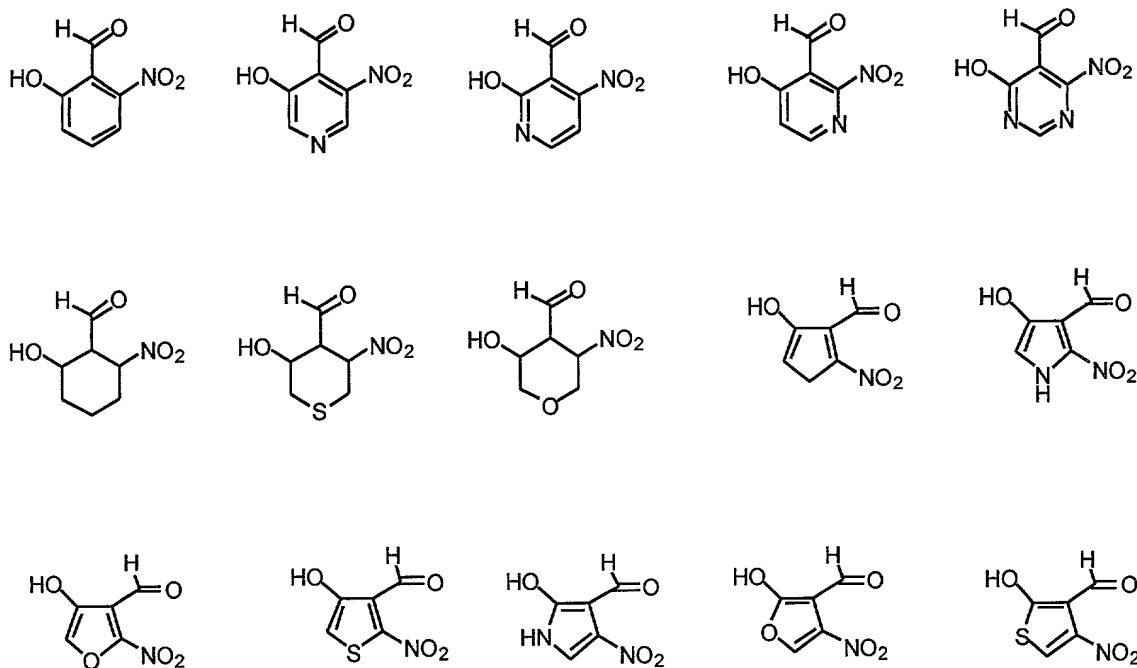
7. (Amended) A method according to claim 1, in which the XH group is at position 2 or 3 in General Formula I or General Formula II, and Y is at any other position.

8. A method according to claim 7, in which the XH group is at position 2.

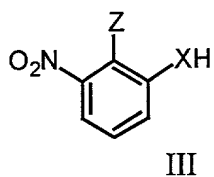
9. (Amended) A method according to claim 7, in which Y is at position 6.

10. A method according to claim 9, in which Y is  $NO_2$ .

11. (Amended) A method according to claim 1, in which the auxiliary compound is selected from the group consisting of

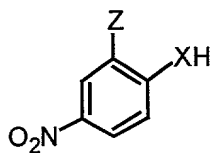


12. A method according to claim 1 for synthesis of a cyclic peptide, a large peptide, or a difficult peptide, in which the auxiliary compound is of General Formula III



and the auxiliary compound is removed by photolysis following amide bond formation.

13. A method according to claim 1 for synthesis of a cyclic peptide, a large peptide, or a difficult peptide containing one or more substituted amide bonds, in which the auxiliary compound is not removed, and the auxiliary compound is of General Formula IV



IV

14. A method of

- a) synthesis of a compound selected from the group consisting of linear and cyclic peptides, large peptides with a native peptide backbone, and "difficult" peptide sequences,
- b) backbone linkage for the synthesis of peptides, C-terminal modified peptides, or
- c) on-resin cyclisation,

comprising the step of linking a cyclic auxiliary compound of General Formula I, General Formula II, General Formula III, or General Formula IV to an amine nitrogen atom, thereby to facilitate conversion of the amine to an amide.

15. A method according to claim 14, in which XH in General Formula III is at position 2, and Y is NO<sub>2</sub> at position 6.

16. (Amended) A method according to claim 1, in which R<sup>3</sup>, R<sup>4</sup>, R<sup>5</sup> and R<sup>6</sup> are independently selected from the group consisting of hydrogen, alkyl, aryl, heteroaryl, hydroxy, alkoxy, aryloxy, and a covalent linkage to a solid support.

17. (Amended) A method of synthesis of a cyclic peptide, comprising the steps of

- a) synthesising a linear peptide to be cyclised,
- b) linking an auxiliary compound as defined in claim 1 to a desired primary amine of the linear peptide,
- c) activating a desired carboxylic acid to effect cyclisation, and where necessary inducing ring contraction, and optionally
- d) removing the auxiliary compound after complete N acylation.

18. A method according to claim 17, in which ring contraction is induced by heating or by addition of a metal.

19. (Amended) A method according to claim 17, in which the auxiliary compound is of General Formula III, and the auxiliary compound is removed by photolysis.

20. (Amended) A method according to claim 17, in which steps a) to d) are performed on a solid support, and are followed by cleavage of the cyclic product from the solid support, and if desired, removal of side chain protecting groups.

21. (Amended) A method according to claim 17, in which activation of the C-terminal carboxylic acid is performed in the presence of an auxiliary compound of General Formula III, and the cyclisation is performed by attaching the auxiliary compound to the desired amine via the Z-group.

22. (Amended) A method of synthesis of a large peptide with a native peptide backbone, comprising the steps of

- a) synthesising a set of peptide fragments to be linked to form a large peptide,
- b) linking an auxiliary compound as defined in claim 1 to the primary amine of the first peptide fragment,
- c) activating the carboxylic acid of the second peptide fragment,
- d) adding the second peptide fragment to the first peptide fragment and forming a peptide bond between the two fragments, and optionally
- e) removing the auxiliary compound after N acylation is complete.

23. A method according to claim 21, in which the auxiliary compound is of General Formula IV, and the auxiliary compound is removed by photolysis.

24. (Amended) A method of synthesis of a difficult peptide sequence, comprising the steps of

- a) linking an auxiliary compound as defined in claim 1 to one or more nitrogen atoms in peptide bonds of a peptide linked to a solid support,
- b) synthesising the complete peptide using standard solid phase synthesis methods, and optionally

- c) when synthesis is complete, removing the auxiliary compound.

25. A method according to claim 24, in which the auxiliary compound is of General Formula III, and the auxiliary compound is removed by photolysis.

26. (Amended) A method of backbone linkage for synthesis of a linear peptide, comprising the steps of

- a) using an auxiliary compound as defined in claim 1 as a linker linking the  $\alpha$ -nitrogen of an acid residue in the desired peptide to a solid support,
- b) assembling the linear peptide using standard solid phase peptide synthesis methods, and optionally
- c) removing the side chain protecting group(s), and/or
- d) cleaving the peptide from the solid support.

27. A method according to claim 26, in which the carboxylic acid group of the C-terminal amino acid residue is replaced by a functional group.

28. A method according to claim 26, in which the carboxylic acid group of the C-terminal amino acid residue is replaced by an ester, alkylalcohol, acetal or amide group.

29. (Amended) A method according to claim 26, in which Y is nitro in position 6, XH is in position 2, and cleavage is performed by photolysis.

30. (Amended) A method of on-resin cyclisation of a linear peptide, comprising the steps of

- a) using an auxiliary compound as defined in claim 1 as a linker linking the  $\alpha$ -nitrogen of an amino acid residue in the desired peptide to a solid support,
- b) synthesising a linear peptide on a solid support, using standard solid phase peptide synthesis methods,
- c) deprotecting the desired amine and carboxylic acid groups,
- d) activating the carboxylic acid group to perform cyclisation, and optionally

- e) deprotecting amino acid side chain groups, and/or
- f) cleaving the cyclic peptide from the solid support.

31. A method according to claim 30, in which Y is a nitro group in position 6, XH is in position 2, and cleavage is performed by photolysis.

32. (Amended) An auxiliary compound according to the General Formula as defined in claim 1, linked to a support suitable for solid phase peptide synthesis.

33. An auxiliary compound linked to a support, as defined in claim 32, in which the support is selected from the group consisting of functionalised polystyrene resins, tentagel resins, and polyethyleneglycol resins.

34. (Amended) A kit for use in synthesis of a peptide, cyclic peptide, comprising:

- a) an auxiliary compound as defined in claim 1, or
- b) an auxiliary compound as defined in claim 1, linked to a solid support, together with one or more reagents for solid phase peptide synthesis.

35. (New) A method according to claim 15, in which  $R^3$ ,  $R^4$ ,  $R^5$  and  $R^6$  are independently selected from the group consisting of hydrogen, alkyl, aryl, heteroaryl, hydroxy, alkoxy, aryloxy, and a covalent linkage to a solid support.

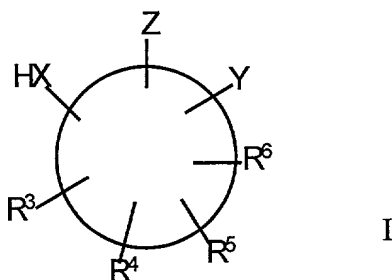
36. (New) An auxiliary compound according to General Formula II as defined in claim 6.

37. (New) An auxiliary compound according to General Formula III as defined in claim 12.

38. (New) An auxiliary compound according to General Formula IV as defined in claim 13.

**EXHIBIT B - SHOWS ORIGIN OF CLAIMS****THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS:**

1. (Amended) A method of
  - a) synthesis of a linear or cyclic peptide,
  - b) synthesis of a C-terminal modified peptide, or
  - c) on-resin cyclisation of a peptide molecule, comprising the step of linking a cyclic aromatic or alkyl auxiliary compound of General Formula I to an amine nitrogen atom[.]



in which the ring optionally comprises one or more heteroatoms selected from the group consisting of nitrogen, oxygen, and sulphur;

is of 5 to 7 atoms;

comprises 3 carbon atoms substituted respectively by XH, Z, and Y; and

is additionally substituted by groups  $R^3$  and  $R^4$  when the compound is a 5-membered ring, or is additionally substituted by groups  $R^3$ ,  $R^4$ , and  $R^5$  when the compound is a 6-membered ring, or is additionally substituted by groups  $R^3$ ,  $R^4$ ,  $R^5$  and  $R^6$  when the compound is a 7-membered ring, in which

X is oxygen, sulphur,  $\text{CH}_2\text{O}-$ , or  $\text{CH}_2\text{S}-$ ;

Y is an electron-withdrawing group;

Z is any group which allows the formation of a covalent carbon-nitrogen bond; and

$R^3$ ,  $R^4$  and  $R^5$  are each independently hydrogen, alkyl, substituted alkyl, aryl, substituted aryl, arylalkyl, substituted arylalkyl, heteroaryl, substituted heteroaryl, alkoxy, aryloxy, XH or Y, or a covalent linkage to a solid support, and



in which R<sup>3</sup> and R<sup>4</sup>, R<sup>4</sup> and R<sup>5</sup>, or R<sup>5</sup> and R<sup>6</sup> can optionally together with the ring form a 5-, 6-, or 7-membered ring, thereby to facilitate conversion of the amine to an amide.

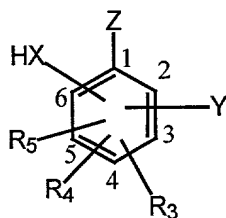
2. A method according to claim 1, in which Y is nitro, ketone, carboxylic ester, amide, nitrile, sulfonamide, sulfoxide, sulfone, sulfonate, fluoride, chloride, bromide or iodide.

3. (Amended) A method according to claim 1 [or claim 2], in which Z is an aldehyde, alkylalcohol, alkylhalide, or a ketone, or is a halogenated C<sub>1-3</sub>alkyl group.

4. A method according to claim 3, in which the halogenated alkyl group is a methyl group.

5. (Amended) A method according to [claim 3 or] claim 4, in which the halogen is iodine, bromine or chlorine.

6. (Amended) A method according to [any one of Claims 1 to 5] claim 1, in which the auxiliary compound is of general Formula II



II.

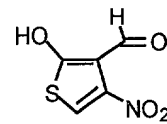
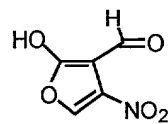
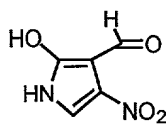
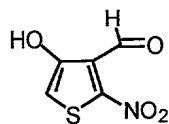
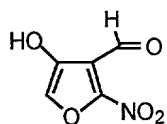
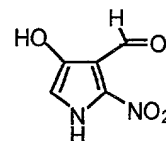
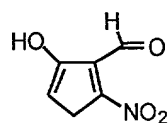
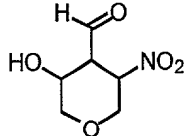
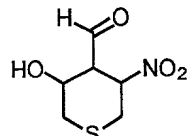
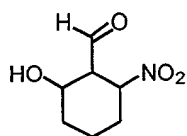
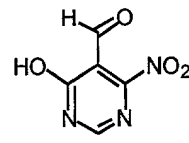
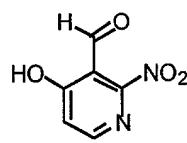
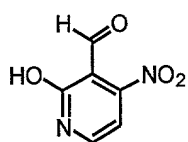
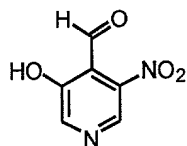
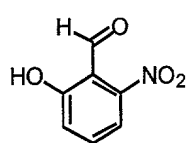
7. (Amended) A method according to [any one of claims 1 to 6] claim 1, in which the XH group is at position 2 or 3 in General Formula I or General Formula II, and Y is at any other position.

8. A method according to claim 7, in which the XH group is at position 2.

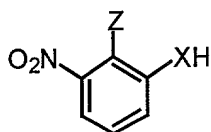
9. (Amended) A method according to [any one of claims 1 to 8] claim 7, in which Y is at position 6.

10. A method according to claim 9, in which Y is NO<sub>2</sub>.

11. (Amended) A method according to [any one of claims 1 to 4] claim 1, in which the auxiliary compound is selected from the group consisting of



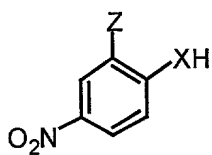
12. A method according to claim 1 for synthesis of a cyclic peptide, a large peptide, or a difficult peptide, in which the auxiliary compound is of General Formula III



III

and the auxiliary compound is removed by photolysis following amide bond formation.

13. A method according to claim 1 for synthesis of a cyclic peptide, a large peptide, or a difficult peptide containing one or more substituted amide bonds, in which the auxiliary compound is not removed, and the auxiliary compound is of General Formula IV



IV

14. A method of

- a) synthesis of a compound selected from the group consisting of linear and cyclic peptides, large peptides with a native peptide backbone, and "difficult" peptide sequences,
- b) backbone linkage for the synthesis of peptides, C-terminal modified peptides, or
- c) on-resin cyclisation,

comprising the step of linking a cyclic auxiliary compound of General Formula I, General Formula II, General Formula III, or General Formula IV to an amine nitrogen atom, thereby to facilitate conversion of the amine to an amide.

15. A method according to claim 14, in which XH in General Formula III is at position 2, and Y is NO<sub>2</sub> at position 6.

16. (Amended) A method according to claim 1 [or claim 15], in which R<sup>3</sup>, R<sup>4</sup>[], R<sup>5</sup> and R<sup>6</sup> are independently selected from the group consisting of hydrogen, alkyl, aryl, heteroaryl, hydroxy, alkoxy, aryloxy, and a covalent linkage to a solid support.

17. (Amended) A method of synthesis of a cyclic peptide, comprising the steps of

- a) synthesising a linear peptide to be cyclised,
- b) linking an auxiliary compound as defined in [any one of claims 1 to 11] claim 1 to a desired primary amine of the linear peptide,
- c) activating a desired carboxylic acid to effect cyclisation, and where necessary inducing ring contraction, and optionally

- d) removing the auxiliary compound after complete N-acylation.

18. A method according to claim 17, in which ring contraction is induced by heating or by addition of a metal.

19. (Amended) A method according to claim 17 [or claim 18], in which the auxiliary compound is of General Formula III, and the auxiliary compound is removed by photolysis.

20. (Amended) A method according to [any one of claims 17 to 19] claim 17, in which steps a) to d) are performed on a solid support, and are followed by cleavage of the cyclic product from the solid support, and if desired, removal of side chain protecting groups.

21. (Amended) A method according to [any one of claims 17 to 19] claim 17, in which activation of the C-terminal carboxylic acid is performed in the presence of an auxiliary compound of General Formula III, and the cyclisation is performed by attaching the auxiliary compound to the desired amine via the Z-group.

22. (Amended) A method of synthesis of a large peptide with a native peptide backbone, comprising the steps of

- a) synthesising a set of peptide fragments to be linked to form a large peptide,
- b) linking an auxiliary compound as defined in [any one of claims 1 to 11] claim 1 to the primary amine of the first peptide fragment,
- c) activating the carboxylic acid of the second peptide fragment,
- d) adding the second peptide fragment to the first peptide fragment and forming a peptide bond between the two fragments, and optionally
- e) removing the auxiliary compound after N acylation is complete.

23. A method according to claim 21, in which the auxiliary compound is of General Formula IV, and the auxiliary compound is removed by photolysis.

24. (Amended) A method of synthesis of a difficult peptide sequence, comprising the steps of
- linking an auxiliary compound as defined in [any one of claims 1 to 10] claim 1 to one or more nitrogen atoms in peptide bonds of a peptide linked to a solid support,
  - synthesising the complete peptide using standard solid phase synthesis methods, and optionally
  - when synthesis is complete, removing the auxiliary compound.
25. A method according to claim 24, in which the auxiliary compound is of General Formula III, and the auxiliary compound is removed by photolysis.
26. A method of backbone linkage for synthesis of a linear peptide, comprising the steps of
- using an auxiliary compound as defined in [any one of claims 1 to 11] claim 1 as a linker linking the  $\alpha$ -nitrogen of an acid residue in the desired peptide to a solid support,
  - assembling the linear peptide using standard solid phase peptide synthesis methods, and optionally
  - removing the side chain protecting group(s), and/or
  - cleaving the peptide from the solid support.
27. A method according to claim 26, in which the carboxylic acid group of the C-terminal amino acid residue is replaced by a functional group.
28. A method according to claim 26, in which the carboxylic acid group of the C-terminal amino acid residue is replaced by an ester, alkylalcohol, acetal or amide group.
29. (Amended) A method according to [any one of claims 26 to 28] claim 26, in which Y is nitro in position 6, XH is in position 2, and cleavage is performed by photolysis.

30. (Amended) A method of on-resin cyclisation of a linear peptide, comprising the steps of
- using an auxiliary compound as defined in [any one of claims 1 to 11] claim 1 as a linker linking the  $\alpha$ -nitrogen of an amino acid residue in the desired peptide to a solid support,
  - synthesising a linear peptide on a solid support, using standard solid phase peptide synthesis methods,
  - deprotecting the desired amine and carboxylic acid groups,
  - activating the carboxylic acid group to perform cyclisation, and optionally
  - deprotecting amino acid side chain groups, and/or
  - cleaving the cyclic peptide from the solid support.

31. A method according to claim 30, in which Y is a nitro group in position 6, XH is in position 2, and cleavage is performed by photolysis.

32. (Amended) An auxiliary compound according to [any one of General Formulae I, II, III or IV as respectively defined in claims 1, 12 and 13] the General Formula as defined in claim 1, linked to a support suitable for solid phase peptide synthesis.

33. An auxiliary compound linked to a support, as defined in claim 32, in which the support is selected from the group consisting of functionalised polystyrene resins, tentagel resins, and polyethyleneglycol resins.

34. (Amended) A kit for use in synthesis of a peptide, cyclic peptide, comprising:

- an auxiliary compound as defined in [any one of claims 1 to 11] claim 1, or
- an auxiliary compound as defined in [any one of claims 1 to 11] claim 1, linked to a solid support, together with one or more reagents for solid phase peptide synthesis.

35. (New) A method according to claim 15, in which  $R^3$ ,  $R^4$ ,  $R^5$  and  $R^6$  are independently selected from the group consisting of hydrogen, alkyl, aryl, heteroaryl, hydroxy, alkoxy, aryloxy, and a covalent linkage to a solid support.

36. (New) An auxiliary compound according to General Formula II as defined in claim 6.
37. (New) An auxiliary compound according to General Formula III as defined in claim 12.
38. (New) An auxiliary compound according to General Formula IV as defined in claim 13.

**EXHIBIT C**  
**REPLACEMENT SECTIONS**

In the Section of the Application pertaining to "Cross-reference to Related Applications", the deletions and additions are as shown:

The present application is a nationalization of International Patent Application PCT/AU99/00812, filed September 24, 1999, which claims priority to Australian Patent Application PP 6165, filed September 25, 1998.

FIELD OF THE INVENTION

This invention relates to novel auxiliaries for the formation of amide bonds, and to the use of these auxiliaries in a variety of synthetic applications. In particular, the auxiliaries of the invention are useful in the synthesis of peptides and peptidomimetic compounds, and in particular for the synthesis of "small cyclic peptides", so-called "difficult" peptide sequences, and large peptides with a native peptide backbone. The auxiliaries of the invention are also useful in the synthesis of peptides or of C-terminal modified peptides, and in on-resin cyclisation of organic molecules, ligating chemistry, backbone substitution and as backbone linkers. In a particularly preferred embodiment, the invention provides auxiliaries [which] that can be removed by photolysis.

100-042650



In the Section of the Application pertaining to "Cross-reference to Related Applications", the final text is as follows:

The present application is a nationalization of International Patent Application PCT/AU99/00812, filed September 24, 1999, which claims priority to Australian Patent Application PP 6165, filed September 25, 1998.

#### FIELD OF THE INVENTION

This invention relates to novel auxiliaries for the formation of amide bonds, and to the use of these auxiliaries in a variety of synthetic applications. In particular, the auxiliaries of the invention are useful in the synthesis of peptides and peptidomimetic compounds, and in particular for the synthesis of "small cyclic peptides", so-called "difficult" peptide sequences, and large peptides with a native peptide backbone. The auxiliaries of the invention are also useful in the synthesis of peptides or of C-terminal modified peptides, and in on-resin cyclisation of organic molecules, ligating chemistry, backbone substitution and as backbone linkers. In a particularly preferred embodiment, the invention provides auxiliaries that can be removed by photolysis.

## ABSTRACT

In the Section of the Application that forms the Abstract, the deletions and additions are as shown:

### ABSTRACT

This invention relates to novel auxiliaries for the formation of amide bonds, and to the use of these auxiliaries in a variety of synthetic applications, such as the synthesis of peptides and peptidomimetic compounds, and in particular for the synthesis of "small cyclic peptides", so-called "difficult" peptide sequences, and large peptides with a native peptide backbone. The auxiliaries of the invention are also useful in the synthesis of peptides or of C-terminal modified peptides, and in on-resin cyclisation of organic molecules, ligating chemistry, backbone substitution and as backbone linkers. In a particularly preferred embodiment, the invention provides auxiliaries [which] that can be removed by photolysis. JOIN ¶

Methods of synthesis of a linear or cyclic peptide, a C-terminal modified peptide, or of on-resin cyclisation of a peptide molecule, comprising the step of linking an amine nitrogen atom to an auxiliary compound of the invention, specific auxiliary compounds, which may optionally be linked to a solid support, and kits for synthesis are disclosed and claimed.

## ABSTRACT

In the Section of the Application that forms the Abstract, the final text is as follows:

### ABSTRACT

This invention relates to novel auxiliaries for the formation of amide bonds, and to the use of these auxiliaries in a variety of synthetic applications, such as the synthesis of peptides and peptidomimetic compounds, and in particular for the synthesis of "small cyclic peptides", so-called "difficult" peptide sequences, and large peptides with a native peptide backbone. The auxiliaries of the invention are also useful in the synthesis of peptides or of C-terminal modified peptides, and in on-resin cyclisation of organic molecules, ligating chemistry, backbone substitution and as backbone linkers. In a particularly preferred embodiment, the invention provides auxiliaries that can be removed by photolysis. Methods of synthesis of a linear or cyclic peptide, a C-terminal modified peptide, or of on-resin cyclisation of a peptide molecule, comprising the step of linking an amine nitrogen atom to an auxiliary compound of the invention, specific auxiliary compounds, which may optionally be linked to a solid support, and kits for synthesis are disclosed and claimed.

- 1 -

AUXILIARY FOR AMIDE BOND FORMATION

This invention relates to novel auxiliaries for the formation of amide bonds, and to the use of these auxiliaries in a variety of synthetic applications. In particular, the auxiliaries of the invention are useful in the synthesis of peptides and peptidomimetic compounds, and in particular for the synthesis of "small cyclic peptides", so-called "difficult" peptide sequences, and large peptides with a native peptide backbone. The auxiliaries of the invention are also useful in the synthesis of peptides or of C-terminal modified peptides, and in on-resin cyclisation of organic molecules, ligating chemistry, backbone substitution and as backbone linkers. In a particularly preferred embodiment, the invention provides auxiliaries which can be removed by photolysis.

## BACKGROUND OF THE INVENTION

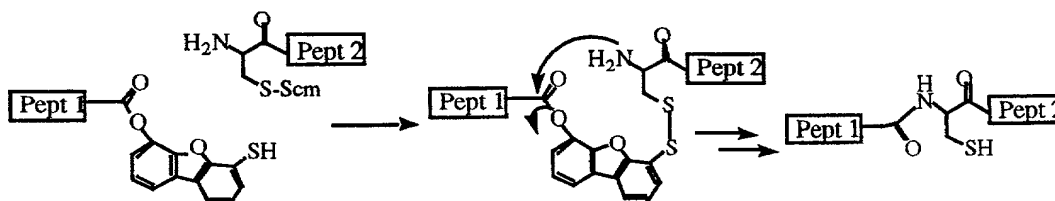
Amide bond formation is one of the most studied reactions in chemistry and biology. It allows peptide and protein synthesis, and enables the synthesis of peptide-like molecules, known as peptidomimetic compounds, which are widely used in drug design and discovery programs. A plethora of reagents and reaction conditions have been developed over the years that facilitate amide bond formation by activating a carboxylic acid and mixing it with a primary or secondary amine. In a number of cases however the acylation reaction may not go to completion or may not proceed at all. Despite the progress and extensive research efforts in this field, so-called "difficult" amide bonds still exist that prevent access to a large number of compounds of great interest to the research community. In particular these include small cyclic peptides, large peptides and proteins and difficult peptide sequences. In these cases, attempts to force the acylation by heating or by increasing the activity of the activated ester result in undesirable side reactions, such as

racemisation, or dimerisation. In these cases a different approach is required to facilitate the amide bond formation. In the past 10 years a number of auxiliary strategies have been developed that make use of an intramolecular acyl transfer to overcome some of these problems. These strategies and their targets are outlined in more detail below.

### 1. Native ligation chemistry

The general idea of chemical ligation is to synthesise large proteins in high purity. The process capitalises on the ability to generate highly homogeneous linear peptides of up to 50 residues long by using optimised solid phase peptide synthesis. These peptide segments are then linked or ligated in solution, using mutually reactive entities at the end of each segment. The major limitation to the existing ligation strategies is that they only work for a very limited number of ligation sites.

Several examples have been published where mutually reactive groups generate an amide isostere. In these first examples the ligation chemistry produced a modification in the peptide backbone of the product. In 1986, Kemp *et al* (1986) proposed a thiol-capture strategy, which is illustrated in Scheme 1.



Scheme 1

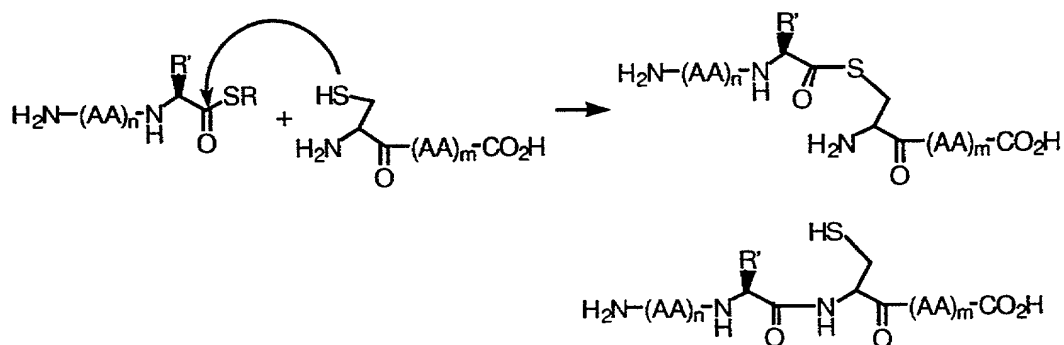
*The thiol-capture strategy*

Here two peptide segments are ligated using a mercaptobenzofuran substituent at the C-terminus of the first segment. The second segment, carrying a cysteine

residue at the N-terminus, reacts to form a disulfide link. Following an O-to-N-acyl shift the disulfide link is cleaved, generating a "native" amide bond. This auxiliary strategy, although revolutionary in its own right, lacks versatility and has only been used successfully in a very limited number of cases (Fotouhi *et al*, 1989) due to the inherent difficulties in synthesising the selectively-protected peptide segments. It differs from our invention in the strategic approach and the design of the auxiliary.

The same group has studied a number of parameters that influence the rate of the intramolecular acyl transfer, mostly focussing on the shape of the auxiliary (Kemp *et al*, 1981). This work is very different from our invention, and in no way suggested anything that is described in this invention.

In 1994 Dawson *et al* (1994) introduced the concept of native ligation, which allows the generation of proteins with a native or unmodified backbone from fully unprotected building blocks. This approach, outlined in Scheme 2, uses chemistry first described by Wieland for reacting amino acids.



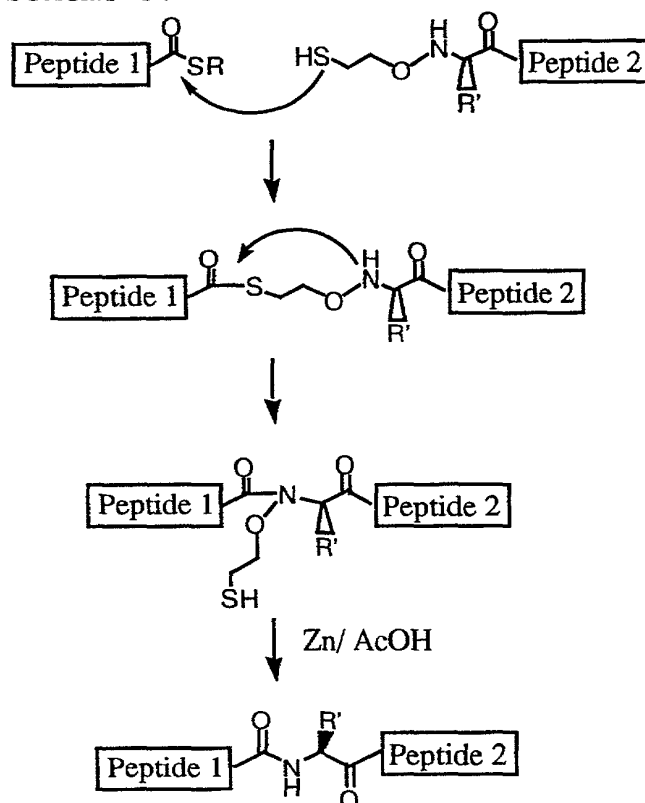
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Scheme 2  
"Native ligation"

In a first step an unprotected peptide- $\alpha$ -thioester selectively reacts with the thiol functionality on the N-terminal cysteine side chain of a second

unprotected peptide. The initially-formed thioester undergoes a spontaneous acyl transfer in the aqueous buffer from a sulfur to a nitrogen atom, thereby generating a standard peptide bond. Several examples illustrate the significance of this work in enabling the synthesis of large proteins in high purity (Hackeng et al, 1997). One of the limitations of this native ligation strategy is that it relies on the presence of a cysteine residue somewhere in the middle of the target peptide sequence.

In an extension of this work, Canne et al (1996) reported a native ligation strategy that uses an auxiliary at the N-terminus of one of the peptide segments. The strategy avoids the necessity for an N-terminal cysteine residue, and expands the range of sites amenable to native chemical ligation to X-Gly and Gly-X. The strategy is outlined in Scheme 3.



Scheme 3

Extension of native ligation

5 A peptide- $\alpha$ -thioester reacts with an  
N<sup>α</sup>(oxyethanethiol) peptide to produce the ligated product.  
The thioester-linked intermediate rearranges via an acyl  
transfer to an amide-linked product. The N-O bond at the  
tertiary amide can be readily cleaved using zinc dust in  
acidic aqueous solution, thereby releasing the  
oxyethanethiol auxiliary and producing the native backbone  
structure in the ligated product. The scope and limitation  
10 of this auxiliary approach was examined by selecting a  
range of different ligation sites.

It was found that the S-to-N acyl transfer only  
proceeded well for Gly-Gly ligation sites, but was more  
difficult when steric hindrance around the ligation site  
15 was increased. For example, in the case of a Gly-Ala  
ligation site the rearrangement, was incomplete after 10  
hours in the pH 7.5 buffer. Lowering the pH to 4.5  
accelerated the rearrangement, which was complete after 10  
hours. In the case of a Phe-Gly ligation site  
20 rearrangement was almost complete after 2 days at 37°C.  
For the more hindered Phe-Ala ligation site no S-to-N acyl  
transfer step was observed, even after lowering the pH or  
leaving the sample at 37°C for 24 hours. It was thus  
concluded that only Gly-X or X-Gly ligation sites will  
25 produce the target product. The limitation lies in the  
acyl-transfer step, which does not proceed for hindered  
ligation sites. The strategy differs from our invention in  
the way the auxiliary is introduced and removed, and in the  
design of the auxiliary.

30 Native ligations have also been performed using  
resin-bound peptides. One such strategy (Camarero *et al*,  
1998) involves the assembly of a first peptide segment  
linked via a C-terminal thioester to the solid support,  
then adding the second segment containing a cysteine  
35 residue at the N-terminus, and performing the native  
ligation steps as for solution phase ligation. This has  
the advantage that handling of the intermediates is



significantly reduced. Furthermore, several ligations can be performed in series using the same chemical approach. The limitations for the solid phase approaches are the same as for the solution phase chemistry, ie native ligation can only be performed at X-Cys, Gly-X or X-Gly sites.

## 2. Small cyclic peptides

Proteins and peptides are the primary means of initiating biological processes by interacting with macromolecular receptors. The crucial information determining the specific activity is often contained in relatively small sequences at the surface, and is determined by the three-dimensional conformation in which that sequence positions its side chains when interacting with the receptor. In the linear form, bioactive peptides can assume millions of different conformations, only very few of which are able to bind to the target receptor. In order to assess the important structural and dynamic properties that are critical to the biological potency and selectivity, conformational constraints are introduced, typically through cyclisation. Such cyclic molecules exist in more defined conformations, and are therefore very appealing from the point of view of pharmaceutical lead discovery. If activity is maintained or enhanced in these cyclic peptides, structural information is obtained, for example by NMR, X-ray or molecular modelling, and used to guide the development of therapeutic drugs. In addition, cyclisation generally promotes an increase of metabolic stability and bioavailability of peptides.

As the side chains are considered the main mediators for receptor interaction, cyclisation is preferably accomplished between the C- and N-termini. Whereas the synthesis of linear peptides generally proceeds well, head-to-tail cyclisation is often troublesome. This is particularly so for small peptides, ie. those less than seven residues long. All-L cyclic tetrapeptides for instance are not very accessible (Schmidt and Langner,

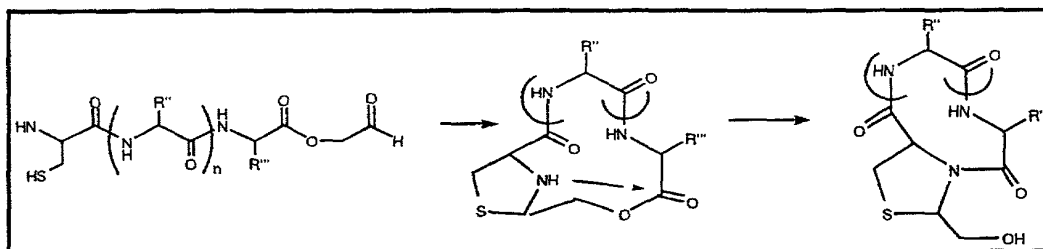
1997). The primary reason for ineffective cyclisation originates from what are called "difficult sequences". In cyclisation terms this refers to a sequence-related inefficiency in "bringing the ends together" for head-to-tail cyclisation. Peptide bonds have strong  $\pi$ -character, and preferentially adopt a trans conformation. Linear precursors are therefore generally extended in conformation with terminal carboxylic acid and amine functional groups in remote positions, and are thus unfavourable for cyclisation. The problem is most prominent in the synthesis of small cyclic peptides, where activation of the C-terminus often results in the formation of linear and cyclic dimers or oligomers with low or no yield of target cyclic monomer.

There have been very few studies that address the "difficult" cyclisation issue. Cavalier-Frontin *et al* (1993) reported on the use of reversible chemical modifications of the peptide backbone to enhance cis-amide conformations. In the synthesis of cyclo-[Phe-Phe-Phe-Phe], each amide N was substituted with a BOC protecting group. The cyclisation yield increased from 1% to 27%. Similarly, the use of the N-(2-hydroxy-4-methoxybenzyl) (Hmb) group as a reversible N-backbone amide substituent has resulted in increases in yield of cyclic peptides (Ehrlich *et al*, 1996). It must be emphasised that here the "auxiliary" is placed on the backbone amide, and not on the N-terminal amine that reacts to form the "difficult" amide bond.

In the past two to three years a few studies where ligation chemistry was used in an intramolecular fashion have been reported. In these examples an initially larger ring is formed, and ring contraction accomplished through an intramolecular O-to-N or S-to-N acyl transfer.

In a first method reported by Botti *et al* (1996), linear unprotected peptides carrying a cysteine residue at the N-terminus and an aldehyde at the C-terminus, were cyclised to generate a thiazolidine containing cyclic

peptide, as shown in Scheme 4. Initially a larger cycle is formed, in which the C- and N- termini are prepositioned for O-to-N acyl transfer and ring contraction to a smaller cycle. The disadvantage of this method is that the cyclic product always contains a thiazolidine moiety in the cycle, with an additional chiral centre which results in the formation of two diastereomers, and requires a cysteine residue at the N-terminus of the linear precursor. The method does not allow the generation of unmodified cyclic peptides, and is not a versatile procedure suitable for a combinatorial library approach.



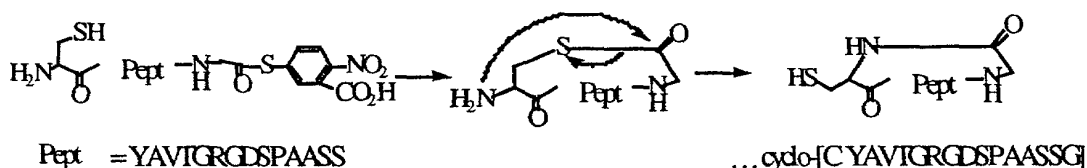
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Scheme 4

Ring contraction chemistry using a  
thiazolidine unit in the ring

Muir et al demonstrated that "native" ligation, using a cysteine residue at the N-terminus and a thioester at the C-terminus, can be applied in an intramolecular way to generate cyclic peptides (Camarero and Muir, 1997), as shown in Scheme 5.

25



Scheme 5  
Intramolecular native ligation using cysteine

5           A 15-residue unprotected peptide containing a  
C-terminal thioacid was converted to the head-to-tail  
cyclic peptide by dissolving the activated C<sup>α</sup>-thioester in  
a pH 7.5 buffer. Cyclisation was complete in 10 minutes.  
The initially formed cyclic thioester rearranges quickly to  
10 form the final peptide bond. The strategy is not generic,  
as it requires a cysteine residue at the N-terminus.

          In a similar way Shao et al (1998) showed that  
N-(oxyethanethiol)-glycine at the N-terminus can be  
employed to achieve cyclisation by allowing the thiol  
15 functionality to react regioselectively with a thioester at  
the C-terminus. These strategies, as the authors point  
out, are limited by the types of residues involved at the  
C-and N-termini. Cyclisation is only possible between  
Gly-X, where X is a non β-substituted residue. The slow  
20 acyl transfer is again the limiting factor in this  
cyclisation strategy.

          None of these methods provides a versatile  
synthetic route to enable synthesis of cyclic peptides with  
unmodified or native peptide backbone. The first two  
25 methods require the presence of cysteine at the N-terminus  
and the last lacks versatility, as the ring contraction  
only proceeds for non-hindered cases. We have found that  
the latter approach does not provide access to a number of  
known "difficult" cyclic peptides.

30

### 3. Backbone substitution

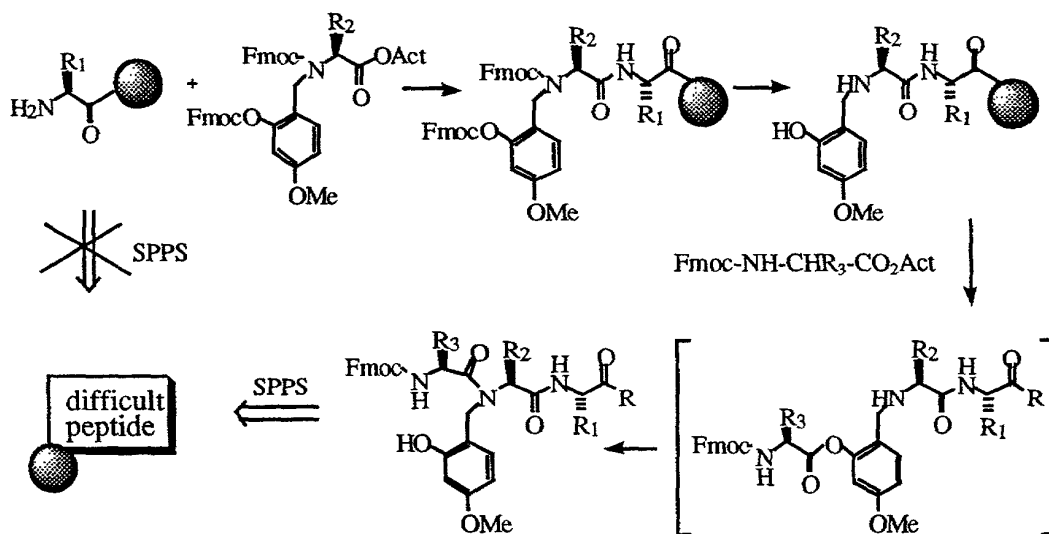
          One of the major problems in solid phase peptide  
synthesis (SPPS) is the inefficient assembly of the so-  
called "difficult" sequences. Moreover, these sequence-  
35 related difficulties are impossible to predict *a priori*.  
The problems are believed to be mainly due to inter- and  
intra-chain aggregation during the assembly of the

protected peptide on the solid support. This has led to the development of the backbone substitution strategy (Hyde et al, 1994) outlined below in Scheme 6. A 2-hydroxy-4-methoxybenzyl substituent (=Hmb) is introduced by using

5 N,O-bis-Fmoc-protected (Hmb)-amino acids. In general acylation of N-substituted amino acids other than glycine requires forcing conditions, due to the massive steric hindrance imposed by the N-substituent. In the case of the

10 Hmb-substituted amino acids, acylation is substantially enhanced through an internal acyl transfer mechanism. Acylation initially occurs on the phenolic oxygen atom, enabled by the intramolecular presence of an amine base, and is followed by an acyl transfer from the oxygen to the

15 nitrogen atom. Fmoc-solid phase synthesis then proceeds, with significantly improved yields for peptide sequences that are difficult to assemble using standard SPPS.



20

= solid support

Scheme 6

The use of Hmb-backbone protection  
during solid phase peptide synthesis

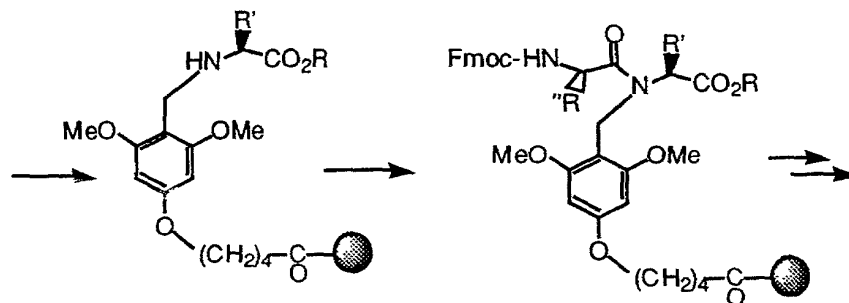
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After assembly the peptide is deprotected and  
cleaved using TFA, with concurrent removal of the Hmb  
backbone substituent yielding target unprotected peptide in  
5 high yields and purity. This backbone protection can also  
be employed to prevent aspartimide formation, and to  
improve solubility of protected peptides. In a recent  
report Hmb groups were introduced on resin-bound peptide  
via reductive amination, thereby avoiding the use of the  
10 more tedious N,O-bis-Fmoc (Hmb)amino acids (Nicolas *et al*,  
1997).

There are two major limitations in the Hmb-  
backbone protection strategy. Firstly the internal O-to-N  
acyl transfer only proceeds well for non-hindered cases.  
15 When a  $\beta$ -branched amino acid has to be coupled to a N <sup>$\alpha$</sup> -Hmb  
residue other than glycine several hours of heating (80°C)  
is required for the rearrangement to proceed. Secondly,  
this group is only compatible with Fmoc chemistry, and not  
with the often-preferred BOC SPPS, due to its TFA lability.

20 The Hmb methodology has demonstrated that  
backbone substitution can alleviate sequence related  
assembly problems for Fmoc chemistry. However, for  
hindered cases it creates additional problems of its own.  
The methodology would benefit significantly from the  
25 development of a more acid stable auxiliary that would  
allow a faster intramolecular acyl transfer (that does not  
require heating) and improved assembly of difficult  
sequences using either Fmoc or Boc SPPS.

This Hmb-backbone substitution approach has led  
30 to the recent development of backbone amide linkers (BAL)  
(Jensen *et al*, 1998), as shown in Scheme 7.



5

Scheme 7

The Backbone linker approach (BAL)

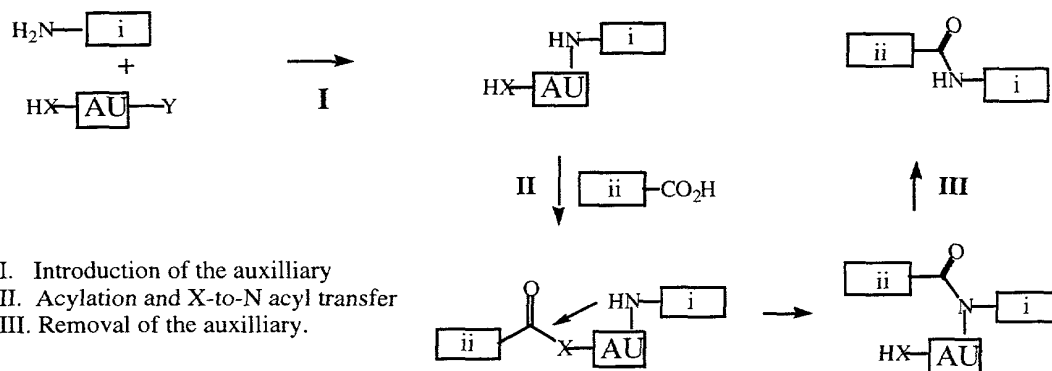
A tris-alkoxybenzyl unit is employed to link a  
 10 peptide via the backbone amide nitrogen atom to a solid  
 support. The link is cleaved by simple TFA treatment at  
 the end of the synthesis. This linking strategy, in  
 contrast to most other such strategies, does not make use  
 of the C-terminal carboxylic acid, and can, at least in  
 15 theory, be used on any amide bond. It is thus especially  
 useful for synthesis of C-terminally modified peptides or  
 for the on-resin synthesis of head-to-tail cyclic peptides.  
 As for the Hmb group, the first limitation lies in the  
 difficulties of acylating the secondary amine to form the  
 20 "linked" amide bond. A second problem is that standard  
 Fmoc SPPS leads to almost complete diketopiperazine  
 formation at the dipeptide stage. Special protection  
 strategies need to be employed to avoid this problem.

The most valuable auxiliary strategies for  
 25 peptide ligation, cyclisation or difficult peptide sequence  
 assembly generate unmodified peptide backbones in the final  
 product. There are three critical features in these  
 auxiliary strategies: introduction, acylation and removal,  
 as illustrated in Scheme 8. The prior art strategies have  
 30 been successfully applied in a limited number of cases.

- 13 -

However, applications of these strategies are severely limited by the difficulties encountered in the acyl transfer step and/or the final auxiliary removal. Often the acyl transfer is very slow, or does not proceed at all.

5



Scheme 8

Reaction steps in the auxiliary strategies

10 It will be clearly understood that, although a number of prior art publications are referred to herein, this reference does not constitute an admission that any of these documents forms part of the common general knowledge in the art, in Australia or in any other country.

15 There are at least three requirements needed to make the auxiliary approach more versatile:

1. allow generic introduction of the auxiliary at the N atom,
2. allow more effective acylation of the
- 20 nitrogen atom, and
3. allow removal of the auxiliary after acylation.

This combination of requirements severely limits the design of novel auxiliaries.

25 We have surprisingly found that a modification of the molecular fragment that links an oxygen or sulfur atom to the nitrogen atom has a strong accelerating effect on the acylation rate of the nitrogen atom, in contrast to prior art examples. In a particularly preferred

30 embodiment, the modification further allows photolytic cleavage of the covalent bond between the acylated nitrogen atom and the remaining molecular fragment that connects the nitrogen atom with the oxygen or sulfur atom.

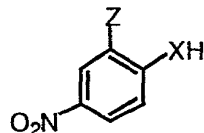


This approach is particularly useful in the field of peptide synthetic chemistry for applications such as formation of small cyclic peptides, formation of large peptides through native ligation of smaller peptide fragments, synthesis of "difficult" peptides, and backbone-linking to a solid support. The prior art methods are often not effective, ie. they only work for a small number of examples, and thus are not generic. This invention provides a more versatile approach for the synthesis of small cyclic peptides, ligation of peptide segments, backbone protection and linkage of peptide to resin during solid phase peptide assembly.

#### SUMMARY OF THE INVENTION

This invention describes the use of a new class of auxiliaries that are readily attached to primary amines, for instance at the N-terminus of a peptide, allow for efficient acylation of the nitrogen atom, and in preferred embodiments are readily removed by photolysis. The overall outcome of the use of this auxiliary is the formation of an amide bond. As described below, this new class of auxiliaries has applications in the synthesis of linear peptides and cyclic peptides, in ligation chemistry, and as a backbone linker.

In a first aspect, the invention provides a method of synthesis of linear or cyclic peptides or C-terminal modified peptides, or of on-resin cyclisation of molecules, comprising the step of linking an amine nitrogen atom to a compound of General Formula I



in which the ring

optionally comprises one or more heteroatoms selected from the group consisting of nitrogen, oxygen, and sulphur; is of 5 to 7 atoms;

5

comprises 3 carbon atoms substituted respectively by XH, Z, and Y; and

is additionally substituted by groups  $R^3$  and  $R^4$  when the compound is a 5-membered ring, or is additionally

10 substituted by groups  $R^3$ ,  $R^4$ , and  $R^5$  when the compound is a 6-membered ring, or is additionally substituted by groups  $R^3$ ,  $R^4$ ,  $R^5$  and  $R^6$  when the compound is a 7-membered ring, in which

15

X is oxygen, sulphur,  $\text{CH}_2\text{O}-$ , or  $\text{CH}_2\text{S}-$ ;

Y is an electron-withdrawing group;

Z is any group which allows the formation of a covalent carbon-nitrogen bond;

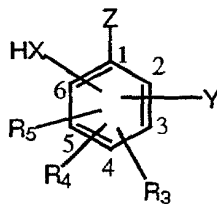
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$R^3$ ,  $R^4$  and  $R^5$  are each independently hydrogen, alkyl, substituted alkyl, aryl, substituted aryl, arylalkyl, substituted arylalkyl, heteroaryl, substituted heteroaryl, alkoxy, aryloxy, XH or Y, or a covalent linkage to a solid support, and

25

in which  $R^3$  and  $R^4$  or  $R^4$  and  $R^5$  can optionally together with the ring form a 5-, 6-, or 7-membered ring.

Preferably the compound is of general formula II



30

II

It will be appreciated by those skilled in the art that a degree of symmetry exists in the numbering of atoms in the ring. For the purposes of this specification the carbon atom bearing the substituent Z will be numbered as position 1; thus the numbering scheme shown in Formula II will be used.

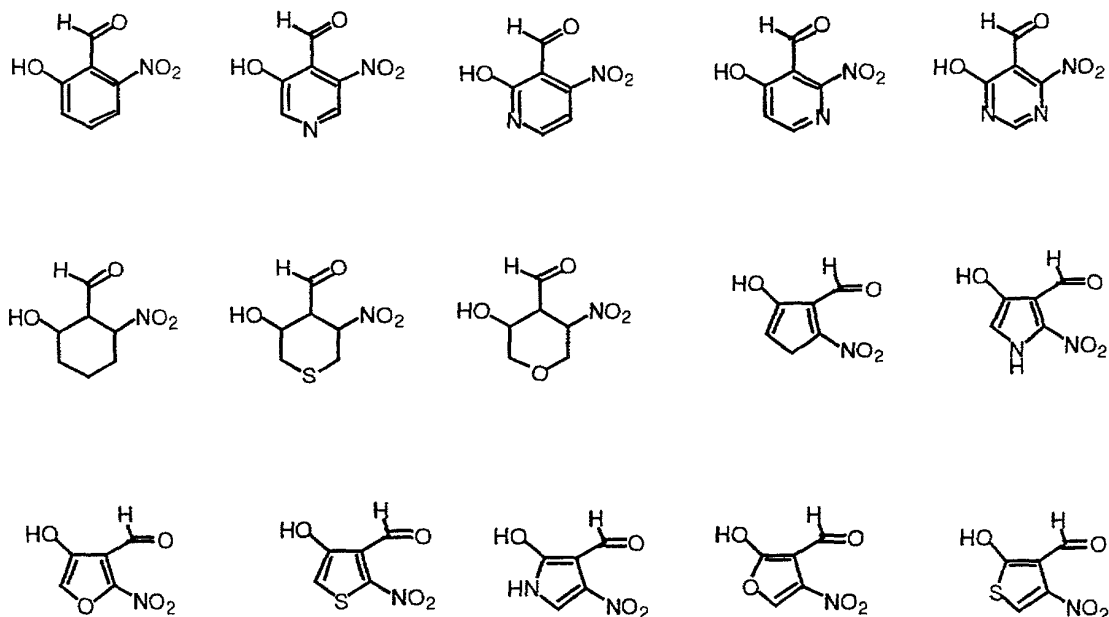
Suitable electron-withdrawing groups Y include but are not limited to nitro, ketone, carboxylic ester, amide, nitrile, sulfonamide, sulfoxide, sulfone, sulfonate, fluoride, chloride, bromide and iodide. Other suitable groups are known to those skilled in the art. See for example March (1985).

Z is suitably an aldehyde, alkylalcohol, alkylhalide, ketone, or halogenated C<sub>1-3</sub>alkyl group, in which the halogen is preferably iodine, bromine or chlorine. Preferably the halogenated alkyl group is a methyl group. Suitable Z groups are well known to those skilled in the art. See for example Houben-Weil (1957).

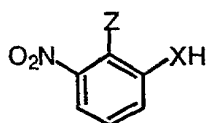
Preferably the XH group is at position 2 or 3 and Y is at any other position; more preferably the XH group is at position 2 and Y is at any other position; most preferably XH is at position 2 and Y is at position 6.

In preferred embodiments of the invention the electron-withdrawing group Y is in position 6. In particularly preferred embodiments, Y is NO<sub>2</sub> in position 6. In these cases, the linkage between the compound of general formula II and the amine nitrogen atom is photolabile. Specific compounds within the scope of the invention include, but are not limited to

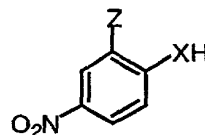
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- Depending upon the structure of the compound of General Formula I or General Formula II, this compound can
- 5 provide a reversible auxiliary for formation of amide bonds, with enhancement of the acyl transfer, or a non-reversible auxiliary for the formation of substituted amide bonds, in which acyl transfer is enhanced, which is particularly useful in the synthesis of cyclic peptides.
- 10 Thus, the compounds of Formula III enable the synthesis of cyclic peptides, large peptides, and difficult peptides which were inaccessible by methods previously available in the art, and allow photolytic removal of the auxiliary after amide bond formation, and compounds of Formula IV
- 15 enable the synthesis of cyclic peptides, large peptides and difficult peptides containing substituted amide bonds in which the auxiliary is not removed.



III



IV

In a second aspect, the invention provides a method of synthesis of a compound selected from the group consisting of linear and cyclic peptides, large peptides with a native peptide backbone, "difficult" peptide sequences, or of backbone linkage for the synthesis of peptides, C-terminal modified peptides, or for on-resin cyclisation, comprising the step of linking a compound of General Formula I, General Formula II, General Formula III or General Formula IV to an amine nitrogen atom. Preferably XH is in position 2 and Y is nitro in position 6. The linkage is then photolabile.

$R^3$ ,  $R^4$ ,  $R^5$  and  $R^6$  are preferably independently selected from the group consisting of hydrogen, alkyl, aryl, heteroaryl, hydroxy, alkoxy, aryloxy, and a covalent linkage to a solid support.

In one preferred embodiment, the invention provides a method of synthesis of a cyclic peptide, comprising the steps of

- a) synthesising a linear peptide to be cyclised,
- b) linking an auxiliary of the invention to a desired primary amine of the linear peptide,
- c) activating a desired carboxylic acid to effect cyclisation, and where necessary inducing ring contraction, and optionally
- d) removing the auxiliary after complete N-acylation.

Ring contraction may be induced by known methods, including but not limited to heating or the addition of metals.

Preferably the auxiliary is of General Formula III, and is removed by photolysis.

A person skilled in the art will appreciate that the above steps can be performed on a solid support, and can be followed by cleavage of the cyclic product from the

solid support, and if desired, removal of side chain protecting groups.

A person skilled in the art will also appreciate that the order of steps may be altered in order to obtain the same result. For example, activation of the C-terminal carboxylic acid can be performed in the presence of compounds of Formula II and the cyclisation performed by attaching the auxiliary to the desired amine via the Z-group.

In a second embodiment, the invention provides a method of synthesis of a large peptide with a native peptide backbone, comprising the steps of

- a) synthesising a set of peptide fragments to be linked to form a large peptide,
- b) linking an auxiliary of the invention to the primary amine of the first peptide fragment,
- c) activating the carboxylic acid of the second peptide fragment,
- d) adding the second peptide fragment to the first peptide fragment and forming a peptide bond between the two fragments, and optionally removing the auxiliary after N acylation is complete.

Preferably the auxiliary is of General Formula III, and is removed by photolysis.

In a third embodiment, the invention provides a method of synthesis of a difficult peptide sequence, comprising the steps of

- a) linking an auxiliary of the invention to one or more nitrogen atoms in peptide bonds of a peptide linked to a solid support,
- b) synthesising the complete peptide using standard solid phase synthesis methods, and optionally
- c) when synthesis is complete, removing the auxiliary.

Preferably the auxiliary is of General Formula III, and is removed by photolysis.

In a fourth embodiment, the invention provides a method of backbone linkage for the synthesis of peptides, comprising the steps of

- a) using an auxiliary of the invention as a linker linking the  $\alpha$ -nitrogen of an acid residue in the desired peptide to a solid support,
- b) assembling the linear peptide using standard solid phase peptide synthesis methods, and optionally
- c) removing the side chain protecting group,
- and/or
- d) cleaving the peptide from the solid support.

The same method can be used for synthesis of C-terminal modified peptides. In this case, the carboxylic acid group of the C-terminal amino acid residue is replaced by a group such as an ester, alkylalcohol, acetal or amide. Other suitable functional carboxylic acid replacement groups are known to those skilled in the art. Preferably Y is nitro in position 6, XH is in position 2 and cleavage is performed by photolysis.

In a fifth embodiment, the invention provides a method of on-resin cyclisation of a linear peptide, comprising the steps of

- a) using an auxiliary of the invention as a linker linking the  $\alpha$ -nitrogen of an amino acid residue in the desired peptide to a solid support,
- b) synthesising a linear peptide on a solid support, using standard solid phase peptide synthesis methods,
- c) deprotecting the desired amine and carboxylic acid groups,
- d) activating the carboxylic acid group to perform cyclisation, and optionally
- e) deprotecting amino acid side chain groups,
- and/or

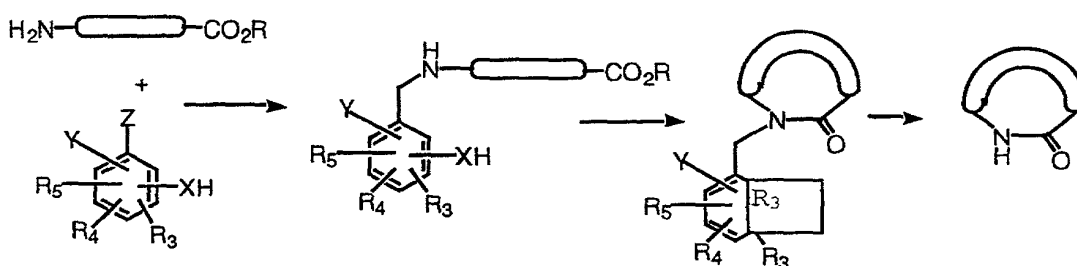
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f) cleaving the cyclic peptide from the solid support.


Preferably Y is a nitro group in position 6, XH is in position 2, and cleavage is performed by photolysis.

Thus the auxiliaries of the invention may be used for the following purposes:

1. To enable the synthesis of "difficult" cyclic peptides, including small cyclic peptides (up to 10 amino acid residues long). The auxiliary is attached to the primary amine, of the linear peptide, and cyclisation is performed by activating the C-terminal carboxylic acid. Optionally the auxiliary is removed after complete N-acylation.



$\text{R}_3$ ,  $\text{R}_4$  and  $\text{R}_5$  = as described above

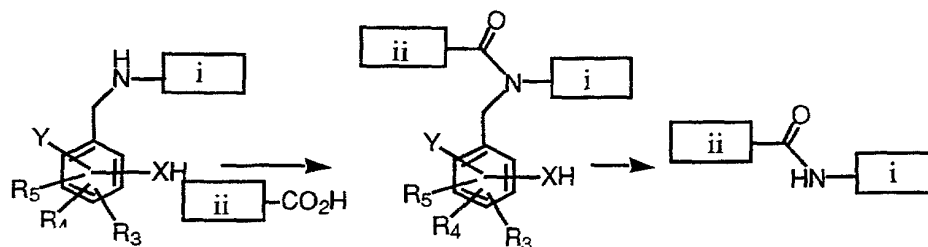
 = Chemical substructure, eg. peptide, peptidomimetic.

These may contain a covalent link to a solid support through an amino acid side chain functionality or through an amide nitrogen atom.

$\text{R}_1$  = H, alkyl ester, covalent link to a solid support

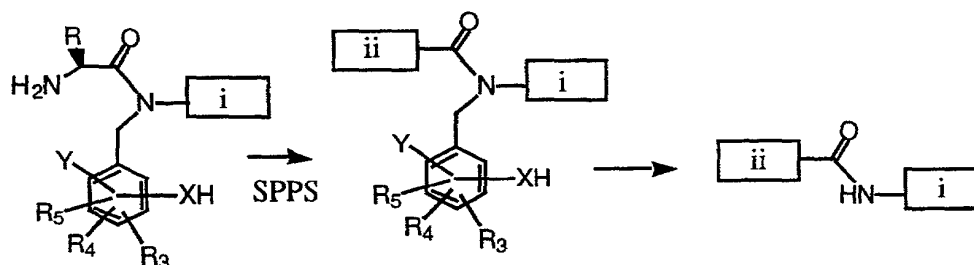
2. To enable the synthesis of large peptides. The auxiliary is attached to the primary amine of the first fragment, and the carboxylic acid of the second fragment is activated and added to the first fragment. After N-acylation is complete the auxiliary is optionally removed.





**i**, **ii** = molecular fragment, typically peptide. The molecular fragment can be covalently linked to a solid support.

3. To enable synthesis of "difficult" peptide sequences. The auxiliary can be used as a backbone protecting group to avoid aggregation of peptides and enhance solid phase peptide assembly. After introducing the auxiliary, standard SPPS protocols are used for the synthesis of the peptide. Optionally at the end of the synthesis the auxiliary is removed by photolysis.



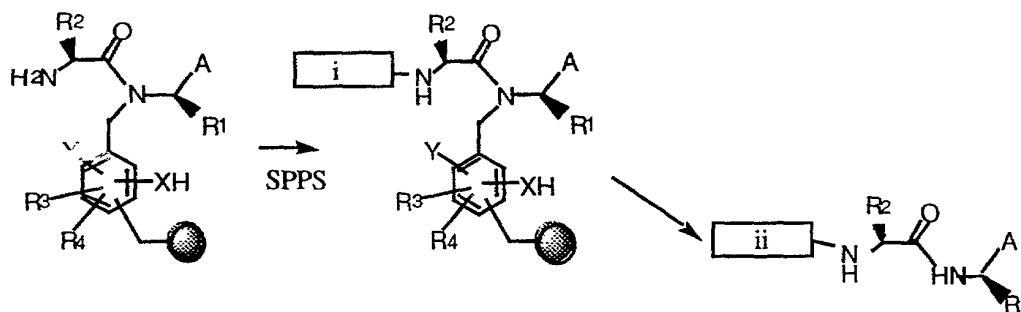
4. To enable backbone linkage for the synthesis of peptides, or C-terminal modified peptides. The auxiliary is employed as a linker to link the  $\alpha$ -nitrogen of an amino acid residue to the resin. Standard SPPS protocols can then be employed to assemble the linear peptide. At the C-terminal residue, modified carboxylic acids can be used, such as esters, alcohols, acetals, amides or any other functional group. Alternatively, the linear peptide is cyclised on-resin, after deprotecting the

- 23 -

N-terminal amine and the C-terminal carboxylic acids. Side chain deprotection may then be performed prior to photolytic cleavage. (A = carboxylic acid or modified acid, such as ester, acetal, amide, alcohol)

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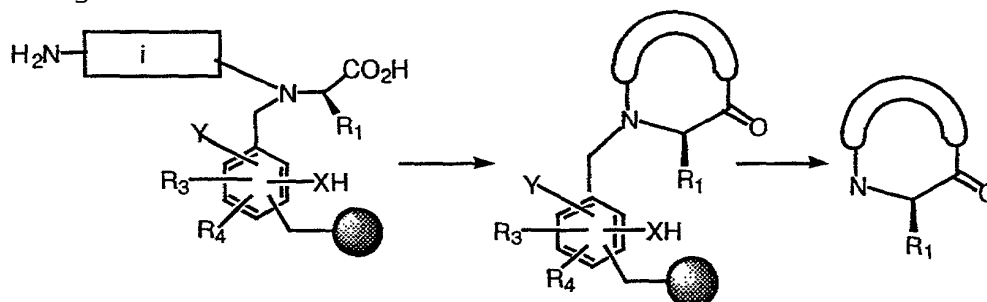
Variable	Mean	SD	Min	Max
Age	45.5	10.5	25	65
Gender	0.5	0.5	0	1
Marital status	0.5	0.5	0	1
Education	12.5	2.5	9	16
Income	3500	1500	1000	6000
Health status	0.5	0.5	0	1
Exercise frequency	2.5	1.5	0	5
Stress level	4.5	1.5	1	7
Sleep quality	3.5	1.5	1	6
Diet quality	4.5	1.5	1	7
Alcohol consumption	1.5	1.5	0	4
Tobacco use	0.5	0.5	0	1
Family size	2.5	1.5	1	5
Work hours	40	10	20	60
Commuting time	30	15	10	60
Childcare costs	500	200	0	1000
Elder care costs	200	100	0	400
Health insurance costs	100	50	0	200
Property taxes	1500	500	500	3000
Mortgage interest	1000	300	0	2000
Charitable contributions	50	20	0	100
Gifts	100	50	0	200
Travel expenses	200	100	0	400
Entertainment	150	75	0	300
Utilities	300	100	0	600
Food	400	150	0	800
Clothing	100	50	0	200
Transportation	200	100	0	400
Medical expenses	100	50	0	200
Insurance premiums	150	75	0	300
Property maintenance	100	50	0	200
Home improvements	200	100	0	400
Education expenses	100	50	0	200
Childcare	500	200	0	1000
Elder care	200	100	0	400
Health insurance	100	50	0	200
Property taxes	1500	500	500	3000
Mortgage interest	1000	300	0	2000
Charitable contributions	50	20	0	100
Gifts	100	50	0	200
Travel expenses	200	100	0	400
Entertainment	150	75	0	300
Utilities	300	100	0	600
Food	400	150	0	800
Clothing	100	50	0	200
Transportation	200	100	0	400
Medical expenses	100	50	0	200
Insurance premiums	150	75	0	300
Property maintenance	100	50	0	200
Home improvements	200	100	0	400
Education expenses	100	50	0	200
Childcare	500	200	0	1000
Elder care	200	100	0	400
Health insurance	100	50	0	200
Property taxes	1500	500	500	3000
Mortgage interest	1000	300	0	2000
Charitable contributions	50	20	0	100
Gifts	100	50	0	200
Travel expenses	200	100	0	400
Entertainment	150	75	0	300
Utilities	300	100	0	600
Food	400	150	0	800
Clothing	100	50	0	200
Transportation	200	100	0	400
Medical expenses	100	50	0	200
Insurance premiums	150	75	0	300
Property maintenance	100	50	0	200
Home improvements	200	100	0	400
Education expenses	100	50	0	200
Childcare	500	200	0	1000
Elder care	200	100	0	400
Health insurance	100	50	0	200
Property taxes	1500	500	500	3000
Mortgage interest	1000	300	0	2000
Charitable contributions	50	20	0	100
Gifts	100	50	0	200
Travel expenses	200	100	0	400
Entertainment	150	75	0	300
Utilities	300	100	0	600
Food	400	150	0	800
Clothing	100	50	0	200
Transportation	200	100	0	400
Medical expenses	100	50	0	20



= solid support

5

5. To enable backbone linkage for the on-resin cyclisation of molecules. In this case the linear peptide is cyclised on-resin, after deprotection the desired amine and carboxylic acid groups from the resin-bound linear peptide synthesised as described in 4 above. Side chain deprotection may then be performed prior to photolytic cleavage.



= Solid support

15

It will be clearly understood that while these reactions are illustrated with the auxiliary of the invention depicted as a 6-membered carbocyclic ring, this in no way limits the generality of the invention.

20

In a third aspect, the invention provides an auxiliary according to any one of General Formulae I, II or III, linked to a support suitable for solid phase peptide

synthesis, or to a nitrogen atom of a peptide backbone. Suitable support resins are well known to those skilled in the art, and include but are not limited to functionalised polystyrene, tentagel resins, and polyethyleneglycol resins such as PEG and PEGA.

The auxiliaries of the invention are suitable for use in combination with other agents useful in the synthesis of cyclic peptides, for example those described in our co-pending Australian provisional application, entitled "Synthesis of Cyclic Peptides", filed on the same day as this application.

The invention also contemplates kits for use in synthesis of peptides, cyclic peptides, or organic compounds, comprising an auxiliary of the invention, or an auxiliary of the invention linked to a solid support, together with one or more other reagents for solid phase peptide synthesis.

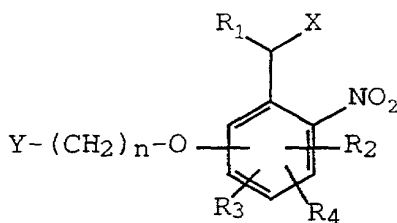
The auxiliaries of the invention fulfil the three requirements listed above in a more versatile way, ie. they make a significant improvement in the yield of acylation and they can be readily introduced and optionally removed. We have significantly improved acylation rates (Step II in Scheme 8) by introducing electron-withdrawing substituents on the aromatic ring of the auxiliary. Acylation of our auxiliaries, even in hindered cases, occurs readily with mildly activated amino acids at room temperature. Furthermore, the presence of a nitro group in the ortho position to the alkylamide provides photolability. This combination of improved acylation and facile and clean removal of the auxiliary provides a novel and powerful means to generate amide bonds which is directly applicable to cyclic peptide synthesis, native ligation, the assembly of difficult peptide sequences, and backbone linking.

We have further evaluated the use of these auxiliaries in the synthesis of "difficult" cyclic peptides. We have found surprising improvements compared to the prior art methods. Where prior art methods completely

failed to yield the desired product, our strategy produced target cyclic peptides in high yield and purity. The auxiliaries are expected to allow access to many classes of hitherto unknown cyclic peptides.

5           The auxiliary, linked to a resin, serves as a novel photolabile backbone linker for the solid phase synthesis of organic or peptidic molecules. This linker is especially valuable for the solid phase synthesis of C-terminally modified peptides and cyclic peptides.

10           Holmes (1997) and U.S. Patent No. 5,739,386 has described a series of photolabile compounds of the following structure:



15    wherein

          R<sub>1</sub> is hydrogen, C1-C8 alkyl, aryl or arylalkyl;  
R<sub>2</sub>, R<sub>3</sub> and R<sub>4</sub> are each independently hydrogen, C1-C8 alkyl, or C1-C8 alkoxy; X and Y are each independently selected from the group consisting of halogen, -SH, -SP, -OH, -OP, -NH<sub>2</sub>, -NHP, in which P is a suitable protecting or  
20    activating group, and -NR<sub>5</sub>R<sub>6</sub> wherein R<sub>5</sub> and R<sub>6</sub> are independently selected from the group consisting of hydrogen, alkyl, substituted alkyl, aryl, substituted aryl, heteroaryl, substituted heteroaryl, arylalkyl, substituted  
25    arylalkyl; and q is an integer from 1 to 10 and preferably from 1 to 4.

          These compounds are used in solid phase chemistry to link molecules to solid supports. The linkers are stable to strong acids and bases, but are labile to UV  
30    light at around 350 nm. These compounds differ from those of our invention in at least two ways:

a) The linkers of Holmes are used only as C-terminal linkers, and are not used to facilitate amide bond formation in synthesis of cyclic peptides, peptide ligation or synthesis of difficult sequences. They are not  
5 used to link the peptide backbone to a solid support.

b) None of the compounds described by Holmes carries a hydroxy or thiol substituent on the aromatic ring, and indeed such a substituent would have been undesirable for the purposes described by Holmes. The  
10 hydroxy-group on the aromatic ring in our compounds is crucial to enable formation of difficult amide bonds.

It will be clearly understood that for the purposes of this specification reference to solid phase peptide synthesis are to be understood to include reference  
15 to methods of synthesis of peptidomimetic compounds.

For the purposes of this specification it will also be clearly understood that the word "comprising" means "including but not limited to", and that the word "comprises" has a corresponding meaning.

20 For the purposes of this specification, the term "monomer" includes compounds which have an amino and carboxy terminus separated in a 1,2, 1,3, 1,4 or larger substitution pattern. This includes the 20 naturally-occurring  $\alpha$ -amino acids in either the L or D configuration,  
25 the biosynthetically-available amino acids not usually found in proteins, such as 4-hydroxy-proline, 5-hydroxylysine, citrulline and ornithine; synthetically-derived  $\alpha$ -amino acids, such as  $\alpha$ -methylalanine, norleucine, norvaline, C $\alpha$ - and N-alkylated amino acids,  
30 homocysteine, and homoserine; and many others as known to the art. It also includes compounds that have an amine and carboxyl functional group separated in a 1,3 or larger substitution pattern, such as  $\beta$ -alanine,  $\gamma$ -amino butyric acid, Freidinger lactam (Freidinger et al, 1982), the  
35 bicyclic dipeptide (BTD) (Freidinger et al, 1982; Nagai and Sato, 1985), amino-methyl benzoic acid (Smythe and von Itzstein, 1994), and others well known to the art.

Statine-like isosteres, hydroxyethylene isosteres, reduced amide bond isosteres, thioamide isosteres, urea isosteres, carbamate isosteres, thioether isosteres, vinyl isosteres and other amide bond isosteres known to the art are also  
5 useful for the purposes of the invention. Thus the word "peptide" as used herein encompasses peptidomimetic compounds. Optionally the peptide may be protected with one or more protecting groups of the type used in the art (see for example Bodanszky, M., (1984), *"Principles of*  
10 *Peptide Synthesis"*, Springer-Verlag, Heidelberg).

The solid support may be of any type used for solid phase synthesis of peptides, peptidomimetics, oligonucleotides, oligosaccharides or organic molecules. The solid support may be in the form of a bead, a pin or  
15 another such surface which is suitable for use in solid phase synthesis. A wide variety of suitable support materials are known in the art. See for example Meldal (1997). Commercially-available polystyrene supports, including aminomethyl-polystyrene,  
20 benzhydrylaminepolystyrene, polyethyleneglycol-polystyrene are especially suitable.

Coupling methods to form peptide bonds are also well known in the art. See for example Albericio and Carpino (1997).

25

#### BRIEF DESCRIPTION OF THE FIGURES

Figure 1 shows a comparison of the coupling yields for the Fmoc chain-assembly of STA-91(699-709)  
30 using: (A) Standard 10 min HBTU coupling protocols (-o-), which resulted in an average coupling yield of 83%; and (B) Hnb-assisted 10 min HBTU coupling protocols, with the incorporation of the Hnb auxiliary at Ile<sup>707</sup> (-Δ-). Average coupling yield 99.6%.

35 Figure 2 shows the results of HPLC analysis of cyclisation of linear peptide **4a** A) after 3h at rt and B)

1h heating to 65°C in the presence of excess DIEA. The solutions were dried under high vacuum, dissolved in 50% aqueous acetonitrile and were loaded directly onto a Vydac reversed-phase C-18 (5 µm, 300 Å, 0.46 x 25 cm) HPLC column. The products were separated using a linear 0-80% buffer B gradient over 40 min at a flow rate of 1 mL/min.

10

Figure 3 shows the results of HPLC analysis of the photolysis of cyclic peptide **11a** at timed intervals. A 0.15mM solution of peptide **11a** in MeOH / 1% AcOH was photolysed using a standard UV lamp and at different time intervals small aliquots were injected onto a Zorbax reversed-phase C-18 (3 µm, 300 Å, 0.21 x 5 cm) HPLC column. The products were separated using a linear 0-80% buffer B gradient over 10 min at a flow rate of 200 µL/min (detection at 214 nm).

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## DETAILED DESCRIPTION OF THE INVENTION

The invention will now be described in detail by way of reference only to the following non-limiting examples.

Abbreviations used herein are as follows:

	AcOH	Acetic acid
10	BOC	N <sub>α</sub> -tert-butoxycarbonyl
	BOP	Benzotriazole-1-yl-oxy-tris-(dimethylamino)- phosphonium-hexafluorophosphate
	<sup>t</sup> Bu	tert-Butyl
	calcd	calculated
15	DCM	dichloromethane
	DIEA	diisopropylethylamine
	DMF	N,N-dimethylformamide
	eq	equivalent
	ES-MS	Electrospray Mass Spectrometry
20	LC/MS	Liquid Chromatography linked to Mass Spectrometry
	Fmoc	9-Fluorenylmethoxycarbonyl-
	HBTU	O-benzotriazole-N,N,N',N'-tetramethyluronium hexafluorophosphate
	HF	anhydrous hydrogen fluoride
25	NMR	Nuclear magnetic resonance
	ONBS	o-nitrobenzene-sulfonamide
	Pam	Phenylacetamidomethyl
	PMC	2,2,5,7,8-pentamethylchroman-6-sulfonyl
	RP-HPLC	Reversed phase high-performance liquid
30	chromatography	
	RT	room temperature
	SPPS	Solid phase peptide synthesis
	TFA	trifluoroacetic acid
	UV	Ultraviolet

35

### Materials and Methods

Chlorotrityl resin (sv = 0.92 mmol/gr) was purchased from PepChem (Tubingen, Germany). All Wang resins and N $\alpha$ -tert-butoxycarbonyl (BOC)-L-amino acids were peptide synthesis grade purchased from Auspep (Melbourne Australia), Novabiochem (San Diego) or Peptide Institute (Osaka, Japan). Pam resins were purchased from Applied Biosystems (Foster City, CA). Dichloromethane (DCM), diisopropyl-ethylamine (DIEA), N,N-dimethylformamide (DMF), and trifluoroacetic acid (TFA) were obtained from Auspep (Melbourne, Australia). p-Cresol, p-thiocresol, 3-nitrophenol, polyphosphoric acid, hexamethylenetetramine were purchased from Aldrich or Fluka (Sydney, Australia). HPLC grade acetonitrile was purchased from Millipore-Waters (Sydney, Australia). 2-(1H-Benzotriazol-1-yl)-1,1,3,3-tetramethyluronium hexafluoro-phosphate and Benzo-triazole-1-yl-oxy-tris-(dimethylamino)-phosphonium-hexafluoro-phosphate was purchased from Richelieu Biotechnologies (Quebec, Canada). Deionised water was used throughout and was prepared by a Milli-Q water purification system (Millipore-Waters). Screw-cap glass peptide synthesis reaction vessels (20 mL) with sintered glass filter frit were obtained from Embell Scientific Glassware (Queensland, Australia). Argon, helium and nitrogen (all ultrapure grade) were from BOC Gases (Queensland, Australia).

$^1\text{H}$  NMR and  $^{13}\text{C}$  NMR spectra were recorded on a Varian 300 MHz Gemini in CD $_3$ OD, and chemical shifts are reported in parts per million (ppm) downfield from (CH $_3$ ) $_4$ Si.

Reversed phase high-performance liquid chromatography (RP-HPLC) was performed on a Waters 600E solvent delivery system equipped with a 484 absorbance detector at 214 nm or 230 nm (Applied Biosystems Inc.) or on a Hewlet Packard HP1100 system equipped with Diode-Array detector. HPLC data were collected using Turbochrome or HPLC 2D Chemstation software. RP-HPLC was performed on a Zorbax microbore (SB-C18, 2.1 mm x 5 cm) column, a Vydac

C-18 analytical (5 mm, 0.46 cm x 25 cm) column or a Vydac preparative C-18 (10 mm, 2.2 cm x 25 cm) column.

Chromatographic separations were achieved using linear gradients of buffer B in A (A = 0.1% aqueous TFA; B = 90% CH<sub>3</sub>CN, 10% H<sub>2</sub>O, 0.09% TFA) at a flow rate of 0.25 mL/min (microbore), 1 mL/min (analytical) and 8 mL/min (preparative).

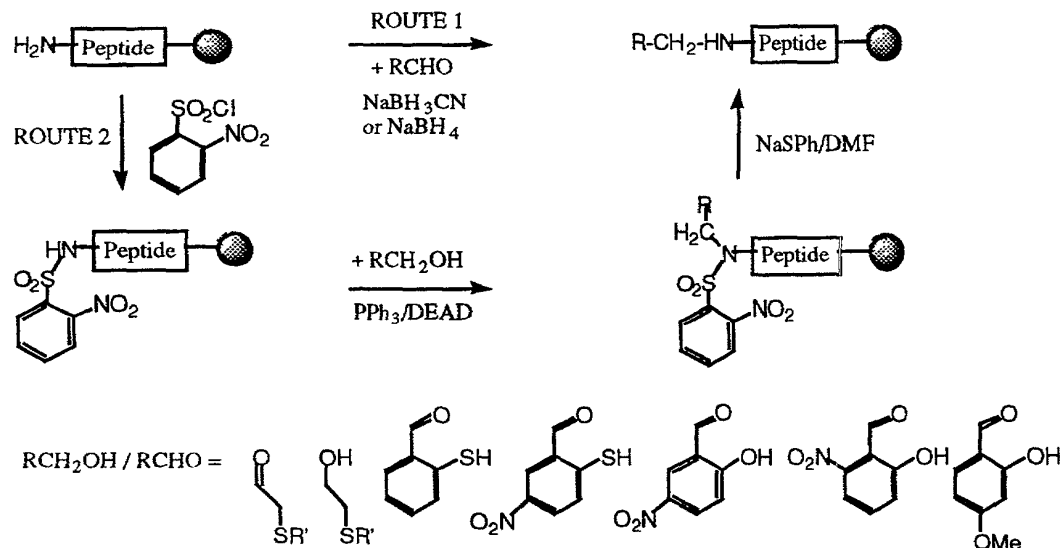
Mass spectra were acquired on a PE-Sciex API-III triple quadrupole mass spectrometer equipped with an Ionspray atmospheric pressure ionization source. Samples (10 µL) were injected into a moving solvent (30 µL/min; 50/50 CH<sub>3</sub>CN/0.05% TFA) coupled directly to the ionisation source via a fused silica capillary interface (50 mm i.d. x 50 cm length). Sample droplets were ionized at a positive potential of 5 kV and entered the analyser through an interface plate and subsequently through an orifice (100-120 µm diameter) at a potential of 80 V. Full scan mass spectra were acquired over the mass range of 400 to 2000 Daltons with a scan step size of 0.1 Da. Molecular masses were derived from the observed m/z values using the MacSpec 3.3 and Biomultiview 1.2 software packages (PE-Sciex Toronto, Canada). Calculated theoretical monoisotopic and average masses were determined using the MacBiospec program (PE-Sciex Toronto, Canada). LC/MS runs were carried out using a linear gradient on a 140B ABI dual syringe pump solvent delivery system and a Zorbax reversed phase C-18 (SB, 2.1 mm x 5 cm) column at a flow rate of 150 µL/min. Samples (typically 5 µL of 1 mg/mL solution) were loaded directly on the column and the eluent directly connected to the mass spectrometer via a 30 cm, 75 µm i.d. fused silica capillary. The application of Turbo Ionspray™ (5 L/min N<sub>2</sub> at 500°C) allowed the introduction of the total eluent without splitting and loss in sensitivity. Acquisition parameters were as described above.

35

**Examples 1 to 5 - Introduction of the Auxiliaries**

Our synthetic approach for introducing the auxiliary is depicted in Scheme 9. In brief, there are two reported pathways that provide access to the N-substituted linear peptides:

1. A first, and most common route, makes use of a reductive alkylation of the N-terminal primary amine with the aldehyde. Initially the aldehyde is added in excess to the resin-bound peptide. After imine formation is complete, as assessed by ninhydrin reaction, a solution of NaBH<sub>4</sub> in DMF/MeOH (2/1) or NaBH<sub>3</sub>CN in DMF/MeOH (1/1) containing 5% acetic acid is added to reduce the imine and generate the -CH<sub>2</sub>- link between the auxiliary and the amine.



15

Scheme 9

*Introduction of the auxiliaries*

2. In a second route an o-nitrobenzene-sulfonamide (ONBS) is prepared from the corresponding sulfonylchloride and the amine. A Mitsunobu-type alkylation, using the auxiliary alcohol, establishes the link with the amine. Finally the ONBS-group is removed

25

using PhSNa in DMF. This three-step process is also known as the Fukuyama reaction.

#### Example 1 Synthesis of HS-(CH<sub>2</sub>)<sub>2</sub>-Tyr-Arg-Phe-Gly-OH

5                Synthesis was performed on Fmoc-Gly-WANG resin (0.36 mmol/g). The tetrapeptide Tyr-Arg-Phe-Gly was assembled using stepwise Fmoc-SPPS, with alternating HBTU coupling and piperidine deprotection as follows:

#### 10    Coupling

                 2mmol Fmoc-amino acid (4 equivalents) were dissolved in DMF (4mL) and 2 mmol HBTU added to the solution together with DIEA (400 µL). After 1 minute preactivation the solution was added to the amino-resin.  
15    The reaction was left for 10 minutes and ninhydrin test performed on a small resin sample to measure the amount of remaining free amine. If the measured amount of free amine was more than 0.5%, the reaction was left longer (30 minutes) and if necessary repeated. The resin was then  
20    washed several times with DMF.

#### Deprotection

                 The Fmoc protected resin (0.5 mmol) was treated with a solution of Piperidine/DMF (1/1) (4mL) for  
25    2 minutes. The resin was drained and the piperidine treatment repeated. The resin was then washed several times with DMF before the next coupling step was commenced.

                 The Tyr(Bu)-Arg(PMC)-Phe-Gly-WANG resin (1 g) was then treated with S-(p-methylbenzyl)-2-mercapto-  
30    acetaldehyde (58 mg; 0.32 mmol, Bitan et al, 1997) dissolved in MeOH/DMF/AcOH (47/47/5) (6 mL). After 5 min stirring 60 mg of NaBH<sub>3</sub>CN was added and the mixture left for 60 minutes. The reductive alkylation step was then repeated once more to ensure complete reaction. The resin  
35    was washed several times with DMF/MeOH, MeOH/DCM and DCM and finally air dried.

*HF cleavage*

1 g of resin was treated with 1 mL of p-cresol and 9 mL HF at 0°C for 1 hour. The HF was evaporated in vacuo and the residue triturated with cold diethylether (20 mL). The ether was filtered off and the precipitated dissolved in a small amount of water. The solution was then loaded directly on to an HPLC column (Vydac, C18 reverse phase 2.1 x 25 cm) for HPLC purification of the products (buffer A:water, 0.1% TFA; buffer B: acetonitrile/water 1/1, 0.09% TFA) (100% A to 80% B in 60 min). The HS-(CH<sub>2</sub>)<sub>2</sub>-NH-CH(CH<sub>2</sub>PhOH)-CO-Arg-Phe-Gly-OH was isolated and lyophilised, yielding 70 mg of white powder (45% yield), Mr 601.5, calcd for C<sub>28</sub>H<sub>39</sub>N<sub>7</sub>O<sub>6</sub>S:601.27.

Example 2      Synthesis of N-(5-nitro-2-mercaptobenzyl)-Tyr-Arg-Phe-Gly-OH

Tyr(Bu)-Arg(PMC)-Phe-Gly-WANG resin was prepared as described in Example 1.

120 mg of 2,2'-dialdehyde-4,4'-dinitro-[diphenyldisulfide] (Fries and Brothuhn, 1923) (0.33 mmol) was dissolved in MeOH/DMF/AcOH (47/47/5) (3 mL) and the solution added to the resin. After 5 minutes, 100 mg NaBH<sub>3</sub>CN (1.6 mmol) was added and the solution stirred for 15 hours. The resin was washed with DMF (3x) and MeOH/DCM 1/1 (3x).

*TFA cleavage*

700 mg of resin was treated with 10 mL TFA/H<sub>2</sub>O (95/5) for 1 hour at room temperature. The TFA was removed in vacuo and the residue dissolved in HPLC buffers A/B 1/1 (5 mL). The solution was then loaded directly on to an HPLC column and purification of the product performed as in Example 1. 25 mg N-(5-nitro-2-mercaptobenzyl)-Tyr-Arg-Phe-Gly-OH were obtained from lyophilisation (20% yield), Mr 708.4 (calcd for C<sub>33</sub>H<sub>40</sub>N<sub>8</sub>O<sub>8</sub>S : 708.27).

Example 3    Synthesis of HS-(CH<sub>2</sub>)<sub>2</sub>-Ala-Phe-Leu-Pro-Ala-OH

Ala-Phe-Leu-Pro-Ala-WANG resin was prepared starting from Fmoc-Ala-WANG resin (0.44 mmol/gram) using standard Fmoc-SPPS protocols, with HBTU coupling and piperidine deprotection as described in Example 1. To 500 mg of this resin, a solution of 300 mg o-nitrobenzenesulfonylchloride in DMF (4 mL) containing DIEA (200 µL) was added. After 30 minutes, the resin was drained and washed with DMF (3x). The resin was mixed with a solution of S-(p-methylbenzyl)-2-mercaptoethanol (270 mg, 1.5 mmol) in DCM (5mL). Triphenylphosphine (393 mg, 1.5 mmol) and diethylazodicarboxylate (DEAD, 261 mg, 1.5 mmol) were premixed in DCM (5 mL). After 1 minute, the solution was added to the resin and the reaction left for 30 minutes. The resin was washed with DCM (3x) and DMF(3x). The resin was further treated with a solution of NaSPhe (200 mg, 1.5 mmol) in DMF (4 mL) for 30 minutes. The resin was washed with DMF (3x) and MeOH/DCM (3x) and air dried.

HF cleavage

500 mg of resin was cleaved using HF/p-cresol/p-thiocresol (9/1/1) (10 mL) (1 hour at 0°C) and was worked up as described in Example 1. The crude residue was dissolved in buffers A/B (1/1) and purified on HPLC yielding HS-(CH<sub>2</sub>)<sub>2</sub>-NH-CH(CH<sub>3</sub>)-CO-Phe-Leu-Pro-Ala-OH (25 mg, 22% yield). Mr : 577.1 (calc for C<sub>28</sub>H<sub>43</sub>N<sub>5</sub>O<sub>6</sub>S : 577.29)

Example 4    Synthesis of N-(2-hydroxy-5-nitrobenzyl)-Ala-Phe-Leu-Pro-Ala-OH

Fmoc-Ala-trityl resin (0.4mmol/gr) was first prepared from trityl resin (0.96 mmol/gr) using protocols provided by Pepchem (Tubingen, Germany). Ala-Phe-Leu-Pro-Ala-Trityl resin was assembled using standard Fmoc SPPS protocols, as in Example 1. This resin (0.5 gr) was further treated with a solution of 2-hydroxy-5-

nitrobenzaldehyde (115 mg, 0.7 mmol) and AcOH (20  $\mu$ L) in DMF (2 mL). After 5 minutes the resin was drained and a second aldehyde treatment was performed. The resin was drained, and washed copiously with DMF until eluent was colourless. A solution of NaBH<sub>4</sub> (150 mg, 4 mmol) in DMF/MeOH 3/1 (4 mL) was added and the resin stirred for 10 minutes. The resin was drained, washed with DMF/MeOH 1/1, DCM/MeOH 1/1 and DCM and air dried.

10 *TFA cleavage*

The resin was treated with DCM (10mL) and TFA (100  $\mu$ L) for 1 hour. The solution was evaporated, buffer B (3 mL) was added and the resin filtered off. The solution was loaded directly on to a preparative HPLC column and HPLC purification performed using a 2% gradient (from 90% A to 10% A in 40 minutes). After lyophilisation N-(2-hydroxy-5-nitrobenzyl)-Ala-Phe-Leu-Pro-Ala-OH (114 mg) was isolated as a white powder (85% yield), Mr: 668.2 (calcd for C<sub>33</sub>H<sub>44</sub>N<sub>6</sub>O<sub>9</sub> : 668.32).

20

Example 5      Synthesis of N-(2-hydroxy-6-nitrobenzyl)-Ala-Phe-Leu-Pro-Ala-OH

The linear peptide was synthesised on trityl resin as described in example 4, but employing 2-hydroxy-6-nitrobenzaldehyde (Harayama et al, 1994). After lyophilisation N-(2-hydroxy-6-nitrobenzyl)-Ala-Phe-Leu-Pro-Ala-OH (85 mg) was isolated as a white powder (63% yield), Mr 668.2, calcd for C<sub>33</sub>H<sub>44</sub>N<sub>6</sub>O<sub>9</sub> : 668.32).

30 Example 6      Acylation Rate of the Arylamine

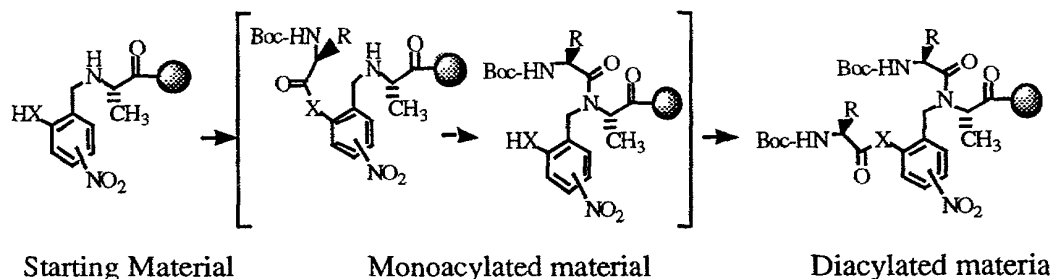
The most important factor limiting the use of the prior art methods was the O-to-N or S-to-N acyl transfer step. One of the parameters which we envisaged would have a large impact on the rate of acyl transfer was the activation of the intermediate oxy- or thioester bond. With this in mind we focussed on the following auxiliary modifications:



- Introduction of a nitro substituent on the aromatic ring of the auxiliary. Nitrophenyl esters react more readily with nucleophiles when compared to phenyl esters.

5                   - Thio-esters versus oxy-esters. In previous work in our laboratory we found that under the same conditions both esters hydrolyse at the same rate. We have included both phenols and thiophenols in our set of N-substituents.

10                   To compare the rate of acyl transfer we carried out the reactions shown in Scheme 10.



15

*Scheme 10*  
*Acylation experiments*

20                   A tripeptide (Ala-Gly-Phe) was assembled on WANG-resin, and the selected range of aldehydes introduced via reductive amination. Each N-substituted tripeptide was then subjected to acylation by mixing the resin with a solution of HBTU-activated Boc-amino acid (Boc-Ala-OH, Boc-Phe-OH or Boc-Val-OH). The general reaction pathway

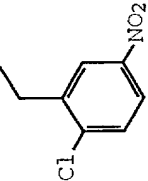
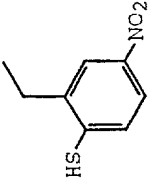
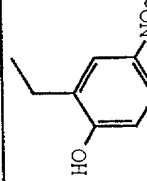
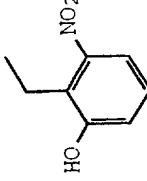
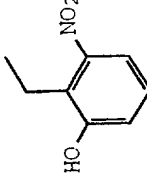
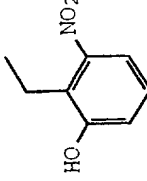
25                   was as follows: acylation of the N-substituted tripeptide occurs initially on the phenol oxygen (or sulfur) atom. The acyl group subsequently migrates to the nitrogen atom. The liberated phenol (or thiophenol) functionality was then acylated a second time.

30

We included as a control the 2-chloro-4-nitrobenzyl substituent. Due to the absence of the alcohol we expected that acylation directly on to the secondary  
5 amine, will be much slower than for the phenol/thiophenol cases. The results are summarized in Table 1.

PCT/AU99/00812

Table 1  
Distribution of N-terminal acylation products on the N-[auxiliary]-AGF sequence

N-terminal Acylation Products (%) <sup>a</sup>									
Auxiliary	t (min)	Alanine			Phenylalanine			Valine	
		unreacted	monoacylated	diacylated	unreacted	monoacylated	diacylated	unreacted	monoacylated
	30	>98	ND	ND	>98%	ND	ND	>98%	ND
	1	33	40	27	10	57	33	18	58
	10	5	77	18	4	12	84	8	19
	60	3	60	37	ND	11	89	3	9
	1	4	8	88	2	17	81	26	47
	10	2	2	96	ND	2	98	4	19
	60	ND	1	99	ND	<1	99	2	15
	1	4	8	88	2	17	81	26	47
	10	2	2	96	ND	2	98	4	19
	60	ND	16	84	ND	21	79	4	14
	1	ND	35	65	4	21	75	48	46
	10	ND	27	73	ND	18	82	7	63
	60	ND	16	84	ND	21	79	4	14
	1	ND	35	65	4	21	75	48	46
	10	ND	27	73	ND	18	82	7	63
	60	ND	16	84	ND	21	79	4	14
	1	ND	35	65	4	21	75	48	46
	10	ND	27	73	ND	18	82	7	63

<sup>a</sup>ND indicates product not detected.

The following conclusions can be drawn from these results:

1. The presence of the alcohol/thiol function at the ortho position of the aromatic ring dramatically increases the rate of acylation of the substituted amine. Under the same acylation conditions, the ortho-chloro "auxiliary" did not undergo acylation at the secondary amine site.

2. The presence of an alcohol/thiol function in combination with a nitro substituent on the aromatic ring ensures very rapid acylation of the secondary amine. For most of the cases studied >70% diacylated product was formed after 10 minutes. Acylation of the 2-hydroxy-6-nitro-derivative with Boc-Valine required 60 minutes to achieve 82% diacylation. In other words, acylation at the nitrogen atom was >80% complete after 60 min. when Boc-Valine was used. As a comparison, in a very similar study acylation of the alpha-nitrogen atom of N-[2-hydroxy-4-methoxybenzyl]-alanine with Fmoc-valine was still incomplete after 24 hours. In the case of acylation of the 2-mercapto-5-nitro-derivative with Boc-Alanine, diacylation only reaches 37% after 60 min.; from comparison with the other results we ascribe this to hydrolysis of the highly reactive and less hindered ester bond in the diacylated product during work-up.

3. The position of the nitro-group on the ring does not seem to play a major role, although small differences can be observed. Substituting oxy-ester for thioester does not significantly alter the rate.

#### *Experimental*

The Ala-Gly-Phe sequence was assembled on Wang resin (0.1 mmol, Novabiochem) using standard Fmoc/HBTU protocols. Auxiliaries were introduced as described in Examples 2, 4 and 5. Each resin was then distributed into three portions in a separate reaction vessels, and swelled in DMF for 10 min. Three equivalents of Boc-Ala-OH,

Boc-Phe-OH, or Boc-Val-OH were coupled to Ala-Gly-Phe-resin using 0.99 equivalents of HBTU with 4 equivalents of DIEA. Samples (10-15 mg) were removed after 1 minute, 10 minutes and 60 minutes, immediately drained, washed with  
5 1:1 DCM:MeOH, and dried. Samples were then cleaved with 250 mL of 97% TFA at room temperature for 1 h. The TFA was evaporated with a stream of nitrogen and the product dissolved in 50% B (100 mL). The sample was centrifuged and the supernatant collected, then analysed by RP-HPLC and  
10 ES-MS or LC/MS. The relative yields of unreacted starting material, monoacylated and diacylated product for each experiment were calculated by integrating the HPLC signals in the corresponding chromatogram.

15 Example 7: Evaluation of Auxiliary Acyl Transfer Efficiency.

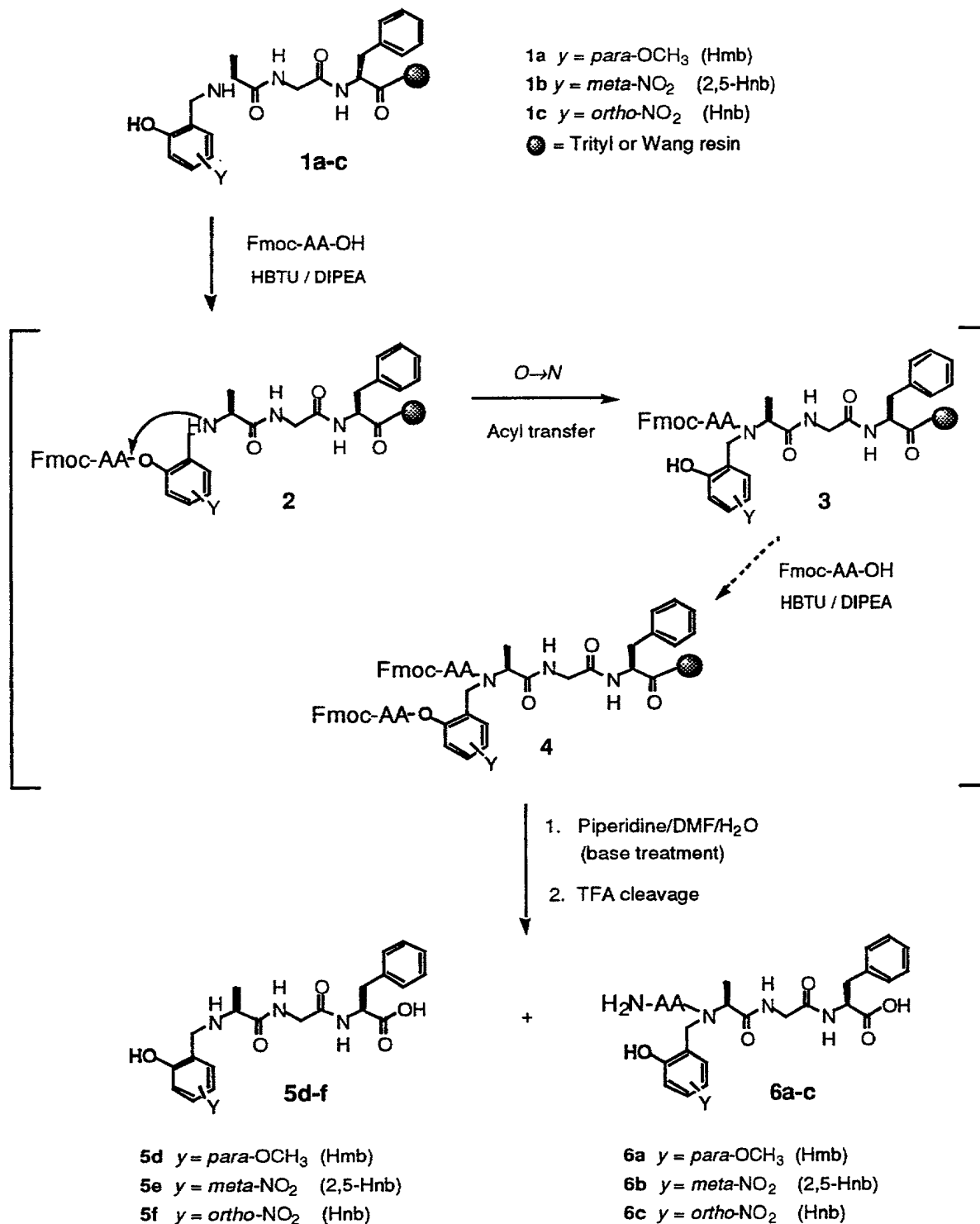
In this example we demonstrate the improved O→N acyl transfer kinetics of our auxiliaries when compared to  
20 prior art auxiliaries such as the 2-hydroxy-4-methoxybenzyl auxiliary. The N-acylation product is formed from the ligation of one residue with a resin bound peptide. This example thus also serves as an example of improved ligation using the auxiliaries of this invention.

25 In order to establish the relative O→N acyl transfer efficiencies of the 2-hydroxy-4-methoxybenzyl, the 2-hydroxy-5-nitrobenzyl and the 2-hydroxy-6-nitrobenzyl auxiliaries, a series of 2-(1H-benzotriazol-1-yl)-1,1,3,3-tetramethyluronium hexafluorophosphate (HBTU)-mediated  
30 amino acid acylation experiments were carried out. Initially, the *ortho*-oxygen atom of the benzyl auxiliary is acylated by the HBTU-activated amino acid to yield 2. Following this, the acyl group then migrates to the N<sup>α</sup>-nitrogen atom to form an amide bond 3. The *ortho*-oxygen in  
35 the presence of excess acylating agent then becomes available for acylation for a second time with diacylation leading to 4 (Scheme 11).

The resin-bound tripeptide, Ala-Gly-Phe, was assembled using standard Fmoc-SPPS protocols on chlorotrityl resin, and the benzaldehyde auxiliary incorporated by reductive alkylation. The peptide-resin of each  $N^{\alpha}$ -auxiliary substituted peptide was divided into three separate portions, and subjected to acylation with 3 equiv of 0.5 M HBTU-activated Fmoc-Ala, Fmoc-Phe, or Fmoc-Val in DMF. Aliquots were removed for analysis after 1, 10, and 60 min. Following reaction, the peptide-resins were washed with DMF, base-treated with piperidine/DMF/water, washed with DMF then DCM, dried, and cleaved with 0.5% TFA in DCM for 30 min. These base treatments were employed to remove *O*-aryl esters present (**2** and **4**), prior to TFA cleavage, in order to distinguish between auxiliary (*O*) and  $N^{\alpha}$ -amino acylation (Scheme 11). The products were then identified by ES-MS or LC/MS analysis and quantified by reversed phase-HPLC peak integration. The results are shown in Table 2.

Initially, to determine the extent of direct  $N^{\alpha}$ -acylation using HBTU-activated amino acids, acylation experiments were carried out with a control auxiliary,  $N^{\alpha}$ -2-chloro-5-nitrobenzyl. As expected, no appreciable amount (<1%) of secondary  $N^{\alpha}$ -acylation had occurred after 30 min with HBTU-activated alanine, phenylalanine, or valine. Next, the presence of an "inactivated" *ortho*-hydroxy group as with the Hmb auxiliary (**1a**) was examined. We found the formation  $N^{\alpha}$ -acylated products did occur by acyl transfer, albeit at relatively slow rates. Hmb-mediated acyl transfer proceeds at a reasonable rate for alanine after 1 h (92%), but the transfer efficiency diminishes sharply with increasing steric bulk on amino acid side-chains, as with phenylalanine (48%) or valine (12%). These observations agree well with acylation studies carried out by others, and also re-emphasises the need for *N*-terminal auxiliaries for SPPS with improved acyl transfer efficiency.

In contrast to the Hmb auxiliary (1a), the presence of an *ortho*-hydroxyl group in combination with the electron-withdrawing nitro substituent on the benzyl ring of an auxiliary significantly enhances acyl capture and transfer efficiency. Acyl transfer ( $N^{\alpha}$ -acylation) mediated by the



Scheme 11

2,5-Hnb auxiliary (1b) was rapid for all three amino acids. For alanine and phenylalanine,  $N^\alpha$ -acylation products formed



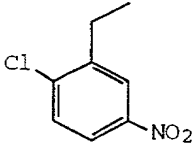
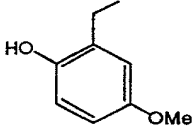
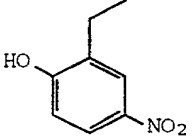
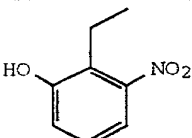
rapidly, and the reaction was near completion after 10 min. In a similar manner to the 2,5-Hnb auxiliary, high alanine, phenylalanine, and valine acyl transfer efficiencies were also observed with the Hnb auxiliary (**1c**) after 1h.

- 5 Importantly, for the more sterically demanding acylation of valine onto  $N^{\alpha}$ -(Aux)Ala-Gly-Phe the yields with both the 2,5-Hnb (>95%) and Hnb (88%) auxiliaries were nearly eight-times higher than with the Hmb auxiliary (12%) under identical experimental conditions. The position of the
- 10 nitro-substituent on the aromatic ring of the auxiliary does not appear to play a crucial role. In all cases racemisation from the acyl transfer reaction was not evident.

Table 2.

Distribution of  $N^\alpha$ -acylation products on the Ala-Gly-Phe sequence by  $N^\alpha$ -auxiliary directed  $O \rightarrow N$  acyl migration.

5

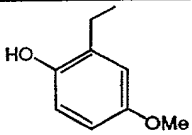
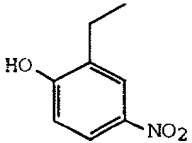
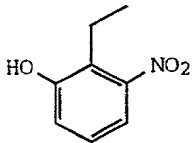
$N^\alpha$ -(Auxiliary)Ala-Gly-Phe $N^\alpha$ -Acylation Yield (%)				
Auxiliary	t (min)	Alanine	Phenylalanine	Valine
 Control	30	<1	<1	<1
 HMB	1 10 60	52 53 92	39 42 48	9 10 12
 2,5-HnB	1 10 60	74 92 97	42 79 85	27 60 95
 2,6-HnB	1 10 60	80 93 97	88 93 93	35 65 88

To determine if the activating effect of the nitro substituent could also improve acyl transfer rates and yields with more sterically demanding residues a second set of acylation experiments were carried out. In these experiments, the same auxiliaries were each introduced onto the resin-bound tetrapeptide, Val-Ala-Gly-Phe, by reductive alkylation and subjected to acylation by HBTU-activated Fmoc-Gly, Fmoc-Phe and Fmoc-Val. Due to the inherent difficulty associated with the acylation of sterically hindered secondary amines the acyl transfer reaction time course in these experiments was increased to 1, 6, and 24 h. Following acylation, the peptide-resins were subjected to piperidine base treatment to exclusively observe the  $N^{\alpha}$ -amino acylation products and then cleaved with 0.5% TFA in DCM for 30 min. The products were identified by ES-MS or LC/MS analysis and quantified by RP-HPLC peak integration. The results are summarised in Table 3.

Table 3

Distribution of  $N^{\alpha}$ -acylation products on the Val-Ala-Gly-Phe sequence by  $N^{\alpha}$ -auxiliary directed  $O \rightarrow N$  acyl migration.

5

$N^{\alpha}$ -(Auxiliary)Val-Ala-Gly-Phe $N^{\alpha}$ -Acylation Yield (%)				
Auxiliary	t (h)	Glycine	Phenylalanine	Valine
 HMB	1	65	4	ND*
	6	72	17	<1
	24	77	54	23
 2,5-HnB	1	>98	37	11
	6	>99	91	42
	24	>99	97	95
 2,6-HnB	1	66	38	31
	6	87	86	75
	24	94	94	93

\*ND indicates  $N^{\alpha}$ -acylation product not detected.

10

From the acylation results listed in Table 3, it is clear that the nitro-activated auxiliaries strongly enhance  $O \rightarrow N$  acyl transfer rates and yields with respect to the Hmb auxiliary. In all three cases the 2,5-Hnb auxiliary and Hnb were superior acyl transfer auxiliaries. The 2,5-Hnb-assisted acyl transfer of glycine onto valine proceeded rapidly, and was essentially complete (>98%) within 1h, whereas phenylalanine and valine required 24 h for greater than 95% completion. The Hnb auxiliary also induced rapid acyl transfer, but nevertheless still required 24 h for approximately 95%  $N^\alpha$ -acylation for all three amino acids. On the other hand, Hmb-assisted acyl transfer resulted in unacceptably low  $N^\alpha$ -acylation yields, especially with phenylalanine and valine, even though  $O$ -acylation proceeds in approximately 50% and 90% yield after 1 h, respectively. More specifically, the Hmb-assisted  $N^\alpha$ -acylation of valine only proceeds in 23% yield after 24 h, and is approximately four times lower than with either the 2,5-Hnb (95%) or Hnb (93%) auxiliary. These results strongly indicated that the 2,5-Hnb and Hnb auxiliaries are of considerable value for the chain assembly of "difficult" peptide sequences, especially when  $\beta$ -branched or bulky residues are predominant.

## 25 Experimental

Peptide Synthesis. Peptides were chemically synthesised stepwise, using 0.5 M HBTU-DMF activation protocols for Fmoc and Boc chemistry as previously described. The syntheses were performed on *p*-benzyloxybenzyl alcohol (Wang) resin or chlorotriethyl resins. The following amino acid side chain protection was used: Fmoc-Glu(*O*-*tert*-butyl)-OH, Fmoc-Lys-(Boc)-OH, Fmoc-Ser(*O*-benzyl)-OH, Fmoc-Thr(*O*-*tert*-butyl)-OH, and Fmoc-Tyr(*O*-*tert*-butyl)-OH. Each residue was coupled for 10 min, and coupling efficiencies determined by the quantitative ninhydrin reaction and the qualitative isatin test.

**Reductive Alkylation.** Typical procedure for the incorporation of the auxiliaries onto a growing peptide chain during SPPS. 0.1 mmole of the  $N^{\alpha}$ -deprotected peptide-resin was washed with 10 mL DMF/MeOH/AcOH (9:9:2), drained, and then mixed with 3 equiv of the substituted benzaldehyde in 1 mL of DMF/MeOH (1:1) for 30 min. The solution was then drained and imine formation reaction repeated. Following drainage, the resin was washed briefly with DMF, then 5 equiv of sodium borohydride in DMF/MeOH/AcOH (9:9:2) was added and mixed at room temperature for 5 min. The resin was then drained and washed successively with DMF, DMF/H<sub>2</sub>O, H<sub>2</sub>O, MeOH/DCM, DCM, and DMF. A few milligrams (~3 mg) was then taken, dried, cleaved with 97% aqueous TFA, dissolved in 30% buffer B, filtered, and then immediately analyzed by ES-MS and RP-HPLC to determine reaction completion.

**Acylation Experiments.** The Ala-Gly-Phe and Val-Ala-Gly-Phe sequences were assembled on chlorotriptyl resin (0.96 mmol/g, PepChem) using standard Fmoc/HBTU protocols. The resin was then divided into three portions in separate reaction vessels, and swollen in DMF for 10 min. Three equiv of Fmoc-protected glycine, alanine, phenylalanine, and valine were coupled to Ala-Gly-Phe-resin or Val-Ala-Gly-Phe-resin using 2.95 equiv of 0.5 M HBTU in DMF with 4 equiv of DIEA for 1, 10, and 60 min or 1, 6, and 24 h, respectively. To examine  $N^{\alpha}$ -acylation exclusively and also remove Fmoc groups, resins samples before cleavage were subjected to 2 cycles of 5 min piperidine/DMF (1:1) and 5 min DMF/piperidine/H<sub>2</sub>O (4:4:2) treatments, then dried with DCM:MeOH (1:1). Triptyl resin samples were cleaved with 0.5% TFA in DCM for 30 min. The TFA cleavage solutions were evaporated with a stream of nitrogen, and the product dissolved in 100  $\mu$ L of 50% buffer B. Samples were centrifuged, supernatant collected, then immediately analysed by RP-HPLC and ES-MS or LC/MS.

Example 8: Synthesis of a 'difficult' peptide, STAT-91(699-709).

In principle, the 2,6-Hnb and Hmb groups should have a similar effect on disrupting hydrogen-bonding networks, since they both alter the backbone structure of growing peptide chains and remove a backbone hydrogen-bond donor. To demonstrate this beneficial effect, the STAT-91(699-709) sequence, TGYIKTELISV, which we have previously reported as "difficult" in both Fmoc- and Boc-SPPS (Meutermans et al 1996; Alewood et al, 1997), was assembled using standard chain assembly protocols and also with the assistance of N-Hnb backbone substitution under identical experimental conditions. The STAT-91 peptide was selected because it does not contain a relatively unhindered site before the "difficult" section is encountered, and thus precludes the use of the Hmb auxiliary.

Using standard Fmoc/*tert*-butyl 0.5 M HBTU/DIEA 10 min coupling protocols in DMF, the chain assembly of STAT-91(699-709) proceeds well until residues Glu<sup>705</sup> and Thr<sup>704</sup> which only couple in 67% and 91% yield, respectively. This is then followed by a 59% coupling yield at Lys<sup>703</sup>, which reportedly only increases to 62% upon re-coupling after 1 h. Furthermore, substitution of HBTU with HATU did not significantly improve the coupling yield for this residue. Tyrosine<sup>700</sup> also gave a poor coupling yield (61%) that also does not improve significantly even after re-coupling for 1 h. In short, the chain-assembly of STAT-91(699-709) under standard coupling conditions proceeded with an unacceptable average acylation yield of 83% (see figure below).

However, when the Hnb (3) auxiliary is incorporated onto the backbone of the growing peptide at Ile<sup>707</sup> (the third residue from the resin-linker) by reductive alkylation, subsequent chain-assembly proceeded in high efficiency

using the same coupling conditions. With the assistance of the Hnb auxiliary, the average coupling yield for the assembly of Ile<sup>707</sup>(N $\alpha$ -Hnb)-STAT-91(699-709) increased to 99.6% as determined by the quantitative ninhydrin assay.

5 This is illustrated in Figure 1.

The HBTU-mediated N $\alpha$ -acylation of Leu<sup>700</sup> onto the N $\alpha$ -Hnb-substituted Ile<sup>707</sup> was monitored by ES-MS and RP-HPLC analysis of a cleaved resin sample before continuation.

10 The Fmoc-Leu to N $\alpha$ -(Hnb)Ile-Ser-Val-resin acyl transfer reaction was approximately 50% complete after 1h, but apparently quantitative after 24h as determined by RP-HPLC analysis (data not shown). By comparison, for the identical Fmoc-Leu to N $\alpha$ -(Hmb)Ile-Ser-Val-resin acyl transfer reaction, N $\alpha$ -acylation only proceeds in 21% yield  
15 after the same period. This poor Hmb-assisted N $\alpha$ -acylation yield further highlights the limitation of the Hmb auxiliary in the synthesis of hindered or  $\beta$ -branched containing "difficult" peptides.

Following chain-assemblies, the crude TFA cleavage material  
20 of the standard and Hnb-assisted STAT-91(699-709) syntheses were analysed by ES-MS and RP-HPLC. When employing standard Fmoc/HBTU synthesis protocols, no appreciable amount (<1%) of the target STAT-91 peptide was found in the crude product by either ES-MS or RP-HPLC analysis. In  
25 contrast, with the Hnb-assisted synthesis, the Hnb-substituted STAT-91(699-709) peptide was the major component in the crude cleavage material as determined by ES-MS and RP-HPLC. The Ile<sup>707</sup>(N $\alpha$ -Hnb)-STAT-91(699-709) peptide was then readily photolysed at 366 nm for 3 h to  
30 give the target peptide in good yield. From this comparison, it appears that the Hnb auxiliary can be used

35



to significantly improve the chain-assembly efficiencies of "difficult" peptides in a manner similar to that of Hmb-substitution, but with the key advantage of greatly improved acyl transfer efficiency.

5

### Experimental

STAT-91(699-709) Syntheses The standard and Hnb-assisted synthesis of STAT-91(699-709) was carried out using Fmoc chemistry on Fmoc-Val-Wang resin (Applied Biosystems Inc., 0.70 mmol/g, 0.1 mmole synthesis scale). The standard assembly using 10 min couplings times resulted in an average coupling yield of 83%. The Hnb-assisted Fmoc chain-assembly of STAT-91(699-709) was also performed using 10 min coupling times, with an average coupling yield of 99.6%. The Hnb auxiliary was introduced at Ile<sup>707</sup> by reductive amination (2 x 3 h imine formation reaction using 5 equiv Hnb) and was then followed by the 0.5 M HBTU coupling of Leu<sup>706</sup> in DMF. *N* $\alpha$ -acylation of Leu<sup>706</sup> onto Ile<sup>707</sup> (*N* $\alpha$ -Hnb)-STAT-91(708-709)-resin was complete after 24 h as determined by ES-MS and RP-HPLC analysis following TFA cleavage of small resin sample. To maintain experimental consistency, Ile<sup>707</sup> in the standard synthesis was also coupled with HBTU for 24 h. In both cases, the final peptide-resin product was cleaved with 97% aqueous TFA at room temperature for 1 h. After evaporation of the TFA in vacuo, the crude product was washed with cold diethyl ether (2 x 5 mL), dissolved in 30% B (5 mL), and lyophilised. Ile<sup>707</sup> (*N* $\alpha$ -Hnb)-STAT-91(699-709) ES-MS: *M*<sub>r</sub> 1374.1 Da; calculated for C<sub>63</sub>H<sub>99</sub>N<sub>13</sub>O<sub>21</sub>: 1373.7 Da (monoisotopic); The Hnb-substituted STAT peptide was then photolysed as described below to give the target peptide in 76% yield

35

after RP-HPLC purification: STAT-91(699-709) ES-MS:  $M_r$   
1222.7 Da; calculated for  $C_{56}H_{94}N_{12}O_{18}$ : 1222.68 Da  
(monoisotopic).

5 Photolysis. Photolysis was carried out in a  
CAMAG UV-cabinet II, with a lamp wavelength of 366 nm and  
power of 0.25 Amps for 2-3 h. Typically 5 mg samples of  
peptide-resin or 1 mg samples of peptide were taken up in  
500  $\mu$ L MeOH in an uncovered wide-mouth vial on a white mat.

10 Example 9: Synthesis of a difficult cyclic peptide,  
Ala-Phe-Leu-Pro-Ala.:

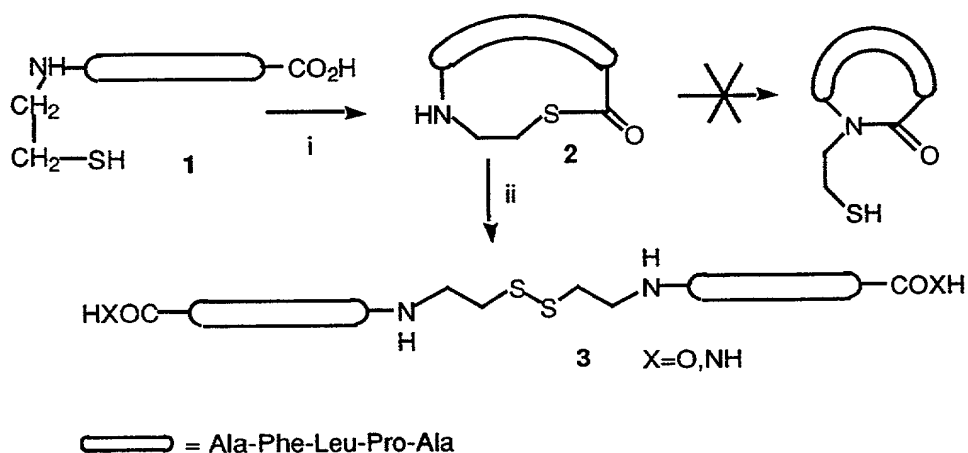
H-Ala-Phe-Leu-Pro-Ala-OH was a recently reported  
example of a sequence which is difficult to cyclise  
(Schmidt and Langner, 1997). When subjected to cyclisation  
15 conditions, dimer and higher oligomers were generated, but  
no target cyclopentapeptide was formed. We have employed  
this linear peptide to probe our methodology and compare it  
with the prior art methods. In the following set of  
experiments we demonstrate that this Ala-Ala amide bond in  
20 the monocycle was not accessible from this linear peptide  
using prior art methodologies, but was accessible using our  
photolabile auxiliaries.

**Cyclisation of unsubstituted Ala-Phe-Leu-Pro-Ala.**

As a control experiment we attempted to cyclise the unsubstituted linear peptide (Ala-Phe-Leu-Pro-Ala) using standard cyclisation conditions (1mM in DMF, 3eq. BOP, 5eq. DIEA, 3h at rt). As expected from the previously reported results, only cyclic dimer and some trimer were obtained, but no target monocyclic product.

**Cyclisation using ethanethiol auxiliary.**

We initially evaluated an ethanethiol auxiliary. This auxiliary was introduced via an on-resin Fukuyama synthesis as described in Example 3, using the reaction sequence summarized in Scheme 12.



Scheme 12: Cyclisation of the N-mercaptoethanyl peptide 1 :  
i) 3 eq. BOP / 5 eq. DIEA, 3h at rt ; ii) 0.1 M  $\text{NH}_4\text{HCO}_3$ .

Cyclisation of the *N*-ethanethiol derivative 1 yielded only the monocyclic product 2 (45% isolated yield), as determined by mass spectral analysis (correct molecular weight and isotope distribution). No dimeric or other oligomeric products were found in the crude reaction mixture.

The monocyclic product has the thioester structure, as confirmed by saponification of the monocyclic product 2 in  $\text{NH}_4\text{HCO}_3$  which generated disulfides 3 of the linear peptide amides and acids. Attempts to force ring contraction by heating ( $65^\circ\text{C}$ ) the isolated ester in organic solvents (DMF, dioxane) in the presence of base (DIEA, DBU), or heating in aqueous buffers (pH 4 - 8) failed. The ester either remained unchanged or hydrolysed to the linear peptide.

This failure to ring contract is in our view due to the low reactivity of the alkylthioester towards secondary amines rather than a constrained transition state geometry. We decided to examine the 2-hydroxynitrobenzaldehydes in a ring contraction approach, as initial cyclisation would generate a more reactive nitrophenylester with significantly improved acyl transfer kinetics.

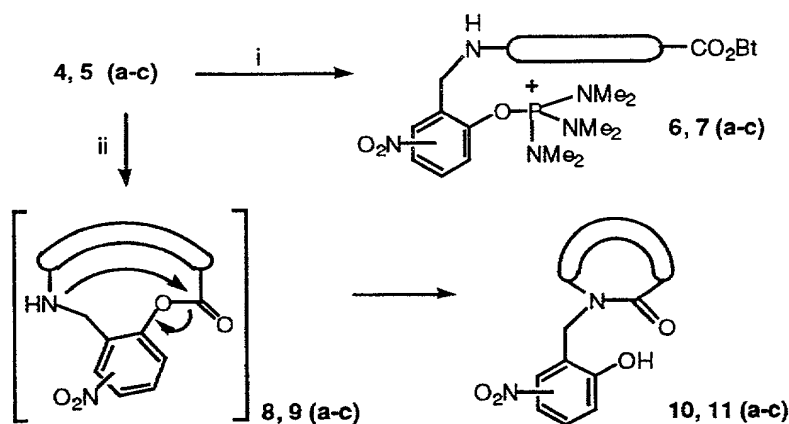
#### **Cyclisation using 5-nitro-2-hydroxybenzyl auxiliary.**

The peptide **4a** was synthesised as described in Example 4. Cyclisation of peptide **4a** under standard conditions initially yielded two monocyclic products, as well as significant amounts of a side product **6a** (Mr, 812 Da), caused by reaction of the phenol functionality with excess BOP in the reaction mixture (Scheme 13, A). By adjusting the amount of activating reagent and base, formation of this side product was completely avoided. The reaction conditions were further optimised by altering the

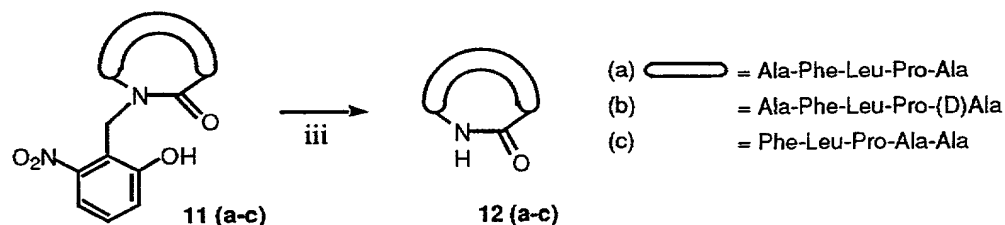
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temperature and amount of base after an initial cyclisation period and monitoring the formation of monocyclic products by LC/MS analysis. The best results were obtained when after 3h of reaction (1mM in DMF, 1eq BOP, 2eq DIEA, rt) excess DIEA (10eq) was added and the mixture left standing for 24 h or heated to 65°C for 1 hour.

A.



B.



Scheme 13: Cyclisation of auxiliary containing peptides **4, 5** (A) and formation of the target cyclic peptides **10, 11** (B) ; i) 3 eq. BOP / 5 eq. DIEA, 3h at rt; ii) 1 eq. BOP / 2 eq. DIEA, 3h rt; 10 eq. DIEA, 12h rt or 1h at 65°C; iii) hv (366nm).

The HPLC profile of the crude product is depicted in Figure 2B. The main product (50% isolated yield) was unambiguously characterised by NMR, ES-MS and chiral amino acid analysis as the all-L target monocyclic product **10a**. A  $^1\text{H}$  NMR absorption at 11.5 ppm confirmed that the product

contained the free hydroxy substituent, and thus did not have the ester structure but rather the target cyclic amide structure. Further, a small amount of the C-terminally racemised product **10b** was also isolated (see Figure 2B). A  
5 chiral amino acid analysis of the product displayed the presence of a D-Ala residue.

In an attempt to isolate the intermediate cyclic ester **8a**, the reaction mixture was analysed after the initial 3h cyclisation period by HPLC (Figure 2A) and LC/MS. The  
10 mixture contained linear peptide and monocyclic products **10a** and **10b**, but no monocyclic ester was found. The *p*-nitrophenyl ester presumably hydrolyses in the aqueous work-up to the linear peptide.

15 **Cyclisation using 6-nitro-2-hydroxybenzyl auxiliary.**

As the 5-nitro-2-hydroxybenzyl auxiliary is not readily removed after cyclisation, we examined the 6-nitro-2-hydroxybenzyl auxiliary peptide **5a** towards cyclisation. The  
20 *ortho*-nitro substituent, while maintaining a similar activation effect on the ring contraction of the cyclic intermediate **9a** compared to **8a**, has the added benefit that it should render the auxiliary photolabile. The linear peptide **5a** was synthesised and treated as described above for the 5-nitro-2-hydroxy derivative. Thus cyclisation (at  
25 1mM in DMF, 1 eq. BOP / 2eq. DIEA) was performed at rt for 3 h, followed by addition of excess DIEA (10eq) and heating to 65°C for 1 hour. The major product was isolated in 39% yield, and characterised by NMR and chiral amino acid analysis as the all-L cyclo-pentapeptide **11a**. A small  
30 amount of the C-terminal racemised cyclic product **11b** (containing a D-Ala) was also isolated.

Similarly *N*-(6-nitro-2-hydroxybenzyl)Phe-Leu-Pro-Ala-Ala 5c was assembled and cyclised as above. The all-L cyclopentapeptide **11c** was isolated in 45% yield.

5 **Removal of the auxiliary.** Cyclic peptide **11a** was then subjected to photolysis at 366nm, using a standard UV lamp, in a range of solvent conditions. In most solvents (MeOH, MeOH/AcOH, THF/AcOH, dioxane) the nitrobenzyl substituent on the backbone nitrogen is readily removed to generate the  
10 target cyclic peptide **12a** (Scheme 14, B). Figure 3 illustrates the clean and efficient conversion (**11a** to **12a**).

The cyclic product was characterised by chiral amino acid analysis and <sup>1</sup>H NMR. The spectral data were in  
15 good agreement with the reported data. Furthermore, an independent sample of cyclic peptide, prepared from the cyclisation of Phe-Leu-Pro-Ala-Ala according to Schmidt et al (1997) coeluted with the product obtained from photolysis.

20 The same product **12a** was obtained from photolysis of the regio analogue **11c**. The racemised cyclic product **11b** was photolysed, and similarly produced the unsubstituted D-Ala containing product **12b**, which coeluted with an independently synthesised sample.

25

#### Experimental

**Cyclisation experiments.** Cyclisation of auxiliary-containing peptides **4** and **5**: 1 equivalent of BOP and 2  
30 equivalents of DIEA in DMF were added to a 1 mM solution of the linear peptide in DMF and stirred for 3 h at rt. 10 equivalents of DIEA was then added and the solution heated at 65° for 1 h. DMF was removed *in vacuo* and the crude

35

product dissolved in acetonitrile/water (1:1) and purified by RP-HPLC.

#### Cyclisation of other linear peptides:

Cyclisations were performed using a 1mM solution of linear peptide in DMF. 3 equivalents of BOP and 5 equivalents of DIEA -were added and the solution stirred for 3 h at rt. Work-up was as described above.

**Cyclo-[S-(CH<sub>2</sub>)<sub>2</sub>-Ala-Phe-Leu-Pro-Ala] 2.** Cyclisation of HS-(CH<sub>2</sub>)<sub>2</sub>-Ala-Phe-Leu-Pro-Ala **1** (10 mg of the TFA salt, 0.014 mmol) produced the monocyclic thioester **2** (3.4 mg, 45% yield): Mr: 559.3, calcd for C<sub>28</sub>H<sub>41</sub>N<sub>5</sub>O<sub>5</sub>S: 559.3. The thioester was hydrolysed using aqueous ammonium bicarbonate buffer (0.1 M, pH 8, 6h at 60 °C) to form the C-terminal amides and acids. Under the mild base conditions these thiol-products oxidised to the disulfides **3** which were characterised by ES-MS. [S-(CH<sub>2</sub>)<sub>2</sub>-NH-CH(CH<sub>3</sub>)-CO-Phe-Leu-Pro-Ala-NH<sub>2</sub>]<sub>2</sub> Mr: 1150.8, calcd for C<sub>56</sub>H<sub>86</sub>N<sub>12</sub>O<sub>10</sub>S<sub>2</sub> : 1150.6, [S-(CH<sub>2</sub>)<sub>2</sub>-NH-CH(CH<sub>3</sub>)-CO-Phe-Leu-Pro-Ala-NH<sub>2</sub>]-S-(CH<sub>2</sub>)<sub>2</sub>-NH-CH(CH<sub>3</sub>)-CO-Phe-Leu-Pro-Ala-OH Mr: 1151.8, calcd for C<sub>56</sub>H<sub>85</sub>N<sub>11</sub>O<sub>11</sub>S<sub>2</sub>: 1151.6, [S-(CH<sub>2</sub>)<sub>2</sub>-NH-CH(CH<sub>3</sub>)-CO-Phe-Leu-Pro-Ala-OH]<sub>2</sub> Mr: 1152.8, calcd for C<sub>56</sub>H<sub>84</sub>N<sub>10</sub>O<sub>12</sub>S<sub>2</sub> : 1152.6.

**Cyclo-[N-(5-nitro-2-hydroxybenzyl)-Ala-Phe-Leu-Pro-Ala] (10a).** Cyclisation of N-(5-nitro-2-hydroxybenzyl)-Ala-Phe-Leu-Pro-Ala **4a** (30 mg of the TFA salt, 0.038 mmol), produced **10a** (12.5 mg, 0.019 mmol) in 51% yield : ES-MS Mr 650.2, calcd for C<sub>33</sub>H<sub>42</sub>N<sub>6</sub>O<sub>8</sub>, 650.3 (monoisotopic). <sup>1</sup>H NMR (500 MHz, DMSO-d<sub>6</sub>, ppm) δ 11.5 (s, 1H, OH), 8.40 (d, 1H, NH<sub>Leu</sub>), 8.02 (dxd, 1H, H-ar), 7.70 (d, 1H, H-ar), 7.4 (d, 1H, HN<sub>Phe</sub>), 7.20-7.30 (m, 5H, H-Phe), 6.99 (d, 1H, H-ar), 6.54 (d, 1H, H-N<sub>Ala</sub>), 5.00 (s, 1H, ArCHhN-), 4.91 (m, 1H,



$\alpha$ -Ala<sup>5</sup>), 4.75 (q, 1H,  $\alpha$ -Ala<sup>1</sup>), 4.59 (m, 1H,  $\alpha$ -Phe), 4.50 (m, 1H,  $\alpha$ -Leu), 4.27 (t, 1H,  $\alpha$ -Pro), 3.88 (d, 1H, ArCHhN-), 3.62 (m, 1H,  $\delta$ -Pro), 3.37 (m, 1H,  $\delta$ -Pro), 2.97 (m, 1H,  $\beta$ -Phe), 2.82 (m, 1H,  $\beta$ -Phe), 2.04 (m, 2H,  $\beta$ -Pro), 1.88 (m, 1H,  $\gamma$ -Pro), 1.73 (m, 1H,  $\beta$ -Leu), 1.65 (m, 1H,  $\gamma$ -Pro), 1.44 (m, 1H,  $\gamma$ -Leu), 1.33 (m, 1H,  $\beta$ -Leu), 1.24 (d, 3H,  $\beta$ -Ala<sup>5</sup>), 0.91 (d, 3H,  $\beta$ -Ala<sup>1</sup>), 0.85 (m, 6H,  $\delta$ -Leu). <sup>13</sup>C NMR (75 MHz, DMSO-d<sub>6</sub>, ppm)  $\delta$  172.61, 170.34, 170.07, 169.95, 169.47, 160.40, 139.73, 136.88, 129.31, 128.14, 126.50, 125.72, 124.21, 122.65, 115.00, 61.04, 56.50, 55.74, 48.70, 46.31, 44.34, 41.37, 38.28, 31.30, 24.20, 22.81, 22.68, 21.17, 18.97, 15.35.

**Cyclo-[N-(6-nitro-2-hydroxybenzyl)-Ala-Phe-Leu-Pro-Ala] (11a).** From cyclisation of N-(6-nitro-2-hydroxybenzyl)-Ala-Phe-Leu-Pro-Ala **5a** (20 mg of the TFA salt, 0.025 mmol), **11a** (6.5 mg, 0.010 mmol) was obtained in 39% yield : ES-MS Mr 650.6, calcd for C<sub>33</sub>H<sub>42</sub>N<sub>6</sub>O<sub>8</sub>: 650.3 (monoisotopic). <sup>13</sup>C NMR (75 MHz, CD<sub>3</sub>OD, ppm)  $\delta$  178.07, 176.95, 174.54, 174.32, 173.72, 159.11, 153.19, 140.41, 131.99, 129.96, 129.54, 127.57, 121.18, 116.57, 62.75, 60.67, 58.55, 54.05, 51.15, 44.54, 43.41, 34.85, 33.67, 25.03, 24.13, 22.30, 21.31, 15.49, 13.89.

**Cyclo-[N-(6-nitro-2-hydroxybenzyl)-Phe-Leu-Pro-Ala-Ala] (11c).** From cyclisation of the N-(6-nitro-2-hydroxybenzyl)-Phe-Leu-Pro-Ala-Ala (20 mg of the TFA salt, 0.025 mmol), **11a** (7.3 mg, 0.011 mmol) was obtained in 44% yield : ES-MS Mr 650.2, calcd for C<sub>33</sub>H<sub>42</sub>N<sub>6</sub>O<sub>8</sub>: 650.3 (monoisotopic). <sup>13</sup>C NMR (75 MHz, DMSO-d<sub>6</sub>, ppm)  $\delta$  171.43, 171.00, 169.46, 167.56, 156.65, 138.43, 129.24, 129.05, 128.32, 128.18, 126.08, 119.50, 115.87, 114.60, 62.18,

60.69, 51.07, 49.38, 46.57, 45.46, 41.54, 38.17, 33.65,  
31.43, 24.37, 22.73, 22.32, 21.06, 17.87, 16.92.

**Cyclo-[Ala-Phe-Leu-Pro-Ala] (12a).** a) Cyclo-[N-(6-nitro-2-  
5 hydroxybenzyl)-Ala-Phe-Leu-Pro-Ala] (1mM MeOH) was purged  
with nitrogen for 30 minutes and then photolysed with a  
standard laboratory UV lamp (366nm, 0.25A) for three hours.  
The MeOH was evaporated and residue dissolved in 50% buffer  
B and the solution loaded directly onto a Vydac C18 column  
10 (preparative) for HPLC purification. Cyclo-[Ala-Phe-Leu-  
Pro-Ala] was isolated in 52% yield. The product coeluted  
with a independently synthesised sample. ES-MS Mr 499.4,  
calcd for C<sub>26</sub>H<sub>37</sub>N<sub>5</sub>O<sub>5</sub>, 499.3 (monoisotopic).  
b) Photolysis of purified cyclo-[N-(6-nitro-2-  
15 hydroxybenzyl)-Phe-Leu-Pro-Ala-Ala] was performed as  
described above. Cyclo-[Phe-Leu-Pro-Ala-Ala] was isolated  
in 28% yield. The product coeluted with a independently  
synthesised sample. ES-MS Mr 499.1, calcd for C<sub>26</sub>H<sub>37</sub>N<sub>5</sub>O<sub>5</sub>,  
499.3 (monoisotopic).

20

Example 10: Application of the photolabile auxiliary for  
the cyclisation of an all-L-tetrapeptide, Tyr-Arg-Phe-Gly,  
via a ring contraction approach.

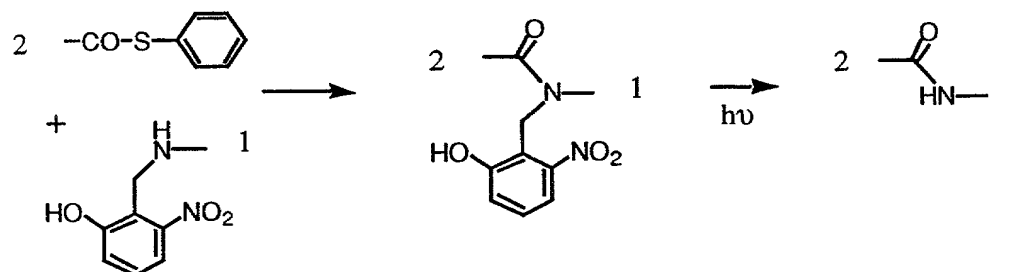
25 We decided to investigate the feasibility of our auxiliary  
approach for the synthesis of a more constrained all-L  
cyclo tetrapeptide. Standard cyclisation of the linear  
peptide Tyr-Arg-Phe-Gly yields cyclic monomer / cyclic  
dimer / cyclic trimer in a ratio of 2 / 8 / 3 .  
30 Cyclisation of (HnB)Tyr-Arg-Phe-Gly was performed as  
described before, but heating (65°C after DIEA addition)  
was continued for 20 hours (instead of 1h). The product  
cyclo-[(HnB)Tyr-Arg-Phe-Gly] was isolated in 40% yield.

35

Photolysis of this product in MeOH/AcOH was slow and yielded impure cyclo[Tyr-Arg-Phe-Gly], whereas photolysis in THF, DMF or Dioxane was significantly faster (complete in 1 hour). The cyclo-[Tyr-Arg-Phe-Gly] was isolated in 41 % yield (photol. step).

### Example 11 Native Ligation

In this experiment we illustrate how we bring this auxiliary approach into practice for performing native ligation. Two ligation sites were examined, ie. a non-hindered site, Gly-Gly, and a more hindered site, Phe-Ala. The oxyethanethiol auxiliary approach has been applied to these ligation sites (Canne *et al*, 1996), and was only successful in the first, non-hindered, case.



Peptide 2	Peptide 1	Product
LYRAG	+ GAGPA	LYRAGGAGPA
LYRAF	+ AARHT	LYRAFAARHT

The first peptide segments (peptide 1) are assembled using standard *in situ* neutralisation protocols and the auxiliary is introduced as described in Examples 1 to 5. Standard HF cleavage and side chain deprotection provides the first unprotected peptide segment. The second peptide segments (peptide 2), containing a thiophenylester at the C-terminus, are synthesised as described before (Canne *et al*, 1996).

To optimise the ligation conditions the following experiments are then performed : peptide 1 and peptide 2 are dissolved in DMF at 1 mM, 10 mM and 100 mM concentration and 2 or 5 equivalents of DIEA added.

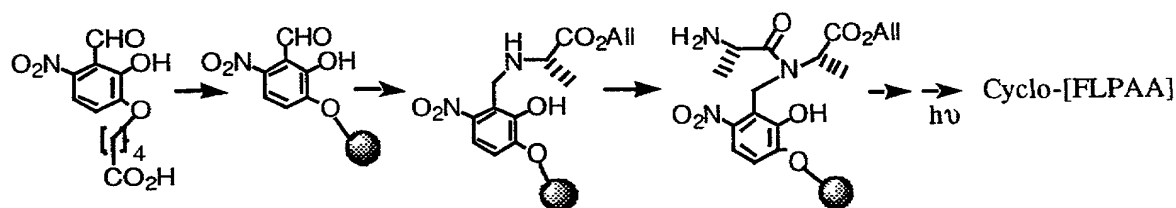
- 5 Progress of the reaction is monitored for each experiment by HPLC and LCMS analysis at different time intervals. Several other solvent systems are tested, such as DMSO/DIEA, and aqueous buffers (pH ranging from 4 to 8) (no DIEA) .

10

#### Example 12      Backbone Linker

In this experiment we illustrate how we accommodate photolabile backbone linking using this auxiliary approach for the solid phase synthesis of cyclic

15 peptides.



- 2,3-dihydroxy-6-nitrobenzaldehyde (Perez *et al*, 1992) is treated with 1 equivalent bromovaleric acid and 1 equivalent KHCO<sub>3</sub> in acetone. The resulting acid is linked to aminomethylated polystyrene. Alanine allyl ester is attached to the resin by reductive amination and the resulting secondary amine acylated with Boc-Ala-OH as described in Example 6. The linear peptide (Phe-leu-Pro-Ala-Ala) is further assembled using *in situ* neutralisation protocols. The N-terminus is deprotected with TFA and the C-terminal allyl protection group removed using Pd[P(Ph)<sub>3</sub>]<sub>4</sub> as described. The cyclisation is then performed with
- 25 BOP/DIEA in DMF and the product cleaved from the resin by photolysis.
- 30

It will be apparent to the person skilled in the art that while the invention has been described in some

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detail for the purposes of clarity and understanding,  
various modifications and alterations to the embodiments  
and methods described herein may be made without departing  
from the scope of the inventive concept disclosed in this  
5 specification.

References cited herein are listed on the following pages, and are incorporated herein by this reference.

Parameter	Value	Unit
Temperature	25.0	°C
Pressure	1.0	atm
Flow rate	1.0	L/min
Concentration	0.1	mol/L
pH	7.0	
Wavelength	254	nm
Scan rate	10	nm/min
Integration time	10	s
Resolution	0.5	nm
Detector	Photodiode array	
Injection volume	10	μL
Column	C18	
Mobile phase	Water/Acetonitrile	
Gradient	0-100% ACN in 10 min	
Flow rate	1.0	mL/min
Temperature	30	°C
Wavelength	254	nm
Scan rate	10	nm/min
Integration time	10	s
Resolution	0.5	nm
Detector	Photodiode array	
Injection volume	10	μL
Column	C18	
Mobile phase	Water/Acetonitrile	
Gradient	0-100% ACN in 10 min	
Flow rate	1.0	mL/min
Temperature	30	°C
Wavelength	254	nm
Scan rate	10	nm/min
Integration time	10	s
Resolution	0.5	nm
Detector	Photodiode array	
Injection volume	10	μL
Column	C18	
Mobile phase	Water/Acetonitrile	
Gradient	0-100% ACN in 10 min	
Flow rate	1.0	mL/min
Temperature	30	°C
Wavelength	254	nm
Scan rate	10	nm/min
Integration time	10	s
Resolution	0.5	nm
Detector	Photodiode array	
Injection volume	10	μL
Column	C18	
Mobile phase	Water/Acetonitrile	
Gradient	0-100% ACN in 10 min	
Flow rate	1.0	mL/min
Temperature	30	°C
Wavelength	254	nm
Scan rate	10	nm/min
Integration time	10	s
Resolution	0.5	nm
Detector	Photodiode array	
Injection volume	10	μL
Column	C18	
Mobile phase	Water/Acetonitrile	
Gradient	0-100% ACN in 10 min	
Flow rate	1.0	mL/min
Temperature	30	°C
Wavelength	254	nm
Scan rate	10	nm/min
Integration time	10	s
Resolution	0.5	nm
Detector	Photodiode array	
Injection volume	10	μL
Column	C18	
Mobile phase	Water/Acetonitrile	
Gradient	0-100% ACN in 10 min	
Flow rate	1.0	mL/min
Temperature	30	°C
Wavelength	254	nm
Scan rate	10	nm/min
Integration time	10	s
Resolution	0.5	nm
Detector	Photodiode array	
Injection volume	10	μL
Column	C18	
Mobile phase	Water/Acetonitrile	
Gradient	0-100% ACN in 10 min	
Flow rate	1.0	mL/min
Temperature	30	°C
Wavelength	254	nm
Scan rate	10	nm/min
Integration time	10	s
Resolution	0.5	nm
Detector	Photodiode array	
Injection volume	10	μL
Column	C18	
Mobile phase	Water/Acetonitrile	
Gradient	0-100% ACN in 10 min	
Flow rate	1.0	mL/min
Temperature	30	°C
Wavelength	254	nm
Scan rate	10	nm/min
Integration time	10	s
Resolution	0.5	nm
Detector	Photodiode array	
Injection volume	10	μL
Column	C18	
Mobile phase	Water/Acetonitrile	
Gradient	0-100% ACN in 10 min	
Flow rate	1.0	mL/min
Temperature	30	°C
Wavelength	254	nm
Scan rate	10	nm/min
Integration time	10	s
Resolution	0.5	nm
Detector	Photodiode array	
Injection volume	10	μL
Column	C18	
Mobile phase	Water/Acetonitrile	
Gradient	0-100% ACN in 10 min	
Flow rate	1.0	mL/min
Temperature	30	°C
Wavelength	254	nm
Scan rate	10	nm/min
Integration time	10	s
Resolution	0.5	nm
Detector	Photodiode array	
Injection volume	10	μL
Column	C18	
Mobile phase	Water/Acetonitrile	
Gradient	0-100% ACN in 10 min	
Flow rate	1.0	mL/min
Temperature	30	°C
Wavelength	254	nm
Scan rate	10	nm/min
Integration time	10	s
Resolution	0.5	nm
Detector	Photodiode array	
Injection volume</		

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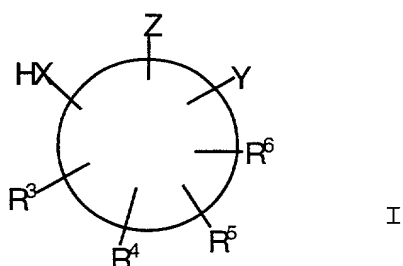
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THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS:

1. A method of  
a) synthesis of a linear or cyclic peptide,  
5 b) synthesis of a C-terminal modified peptide, or  
c) on-resin cyclisation of a peptide molecule,  
comprising the step of linking a cyclic aromatic or alkyl  
auxiliary compound of General Formula I to an amine  
nitrogen atom.



in which the ring optionally comprises one or more  
heteroatoms selected from the group consisting of nitrogen,  
oxygen, and sulphur;

is of 5 to 7 atoms;

15 comprises 3 carbon atoms substituted respectively by XH, Z,  
and Y; and

is additionally substituted by groups  $R^3$  and  $R^4$  when the  
compound is a 5-membered ring, or is additionally  
substituted by groups  $R^3$ ,  $R^4$ , and  $R^5$  when the compound is a  
20 6-membered ring, or is additionally substituted by groups  
 $R^3$ ,  $R^4$ ,  $R^5$  and  $R^6$  when the compound is a 7-membered ring,  
in which

X is oxygen, sulphur,  $\text{CH}_2\text{O}-$ , or  $\text{CH}_2\text{S}-$ ;

Y is an electron-withdrawing group;

25 Z is any group which allows the formation of a  
covalent carbon-nitrogen bond; and

$R^3$ ,  $R^4$  and  $R^5$  are each independently hydrogen,  
alkyl, substituted alkyl, aryl, substituted aryl,  
arylalkyl, substituted arylalkyl, heteroaryl, substituted

30

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heteroaryl, alkoxy, aryloxy, XH or Y, or a covalent linkage  
to a solid support, and  
in which R<sup>3</sup> and R<sup>4</sup>, R<sup>4</sup> and R<sup>5</sup>, or R<sup>5</sup> and R<sup>6</sup> can optionally  
together with the ring form a 5-, 6-, or 7-membered ring,  
5 thereby to facilitate conversion of the amine to an amide.

2. A method according to claim 1, in which Y is  
nitro, ketone, carboxylic ester, amide, nitrile,  
sulfonamide, sulfoxide, sulfone, sulfonate, fluoride,  
10 chloride, bromide or iodide.

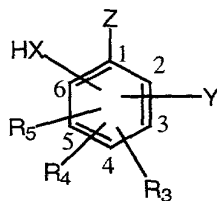
3. A method according to claim 1 or claim 2, in  
which Z is an aldehyde, alkylalcohol, alkylhalide, or a  
ketone, or is a halogenated C<sub>1-3</sub>alkyl group.

15 4. A method according to claim 3, in which the  
halogenated alkyl group is a methyl group.

5. A method according to claim 3 or claim 4, in  
20 which the halogen is iodine, bromine or chlorine.

6. A method according to any one of Claims 1 to 5,  
in which the auxiliary compound is of general Formula II

25



II

30 7. A method according to any one of claims 1 to 6,  
in which the XH group is at position 2 or 3 in General  
Formula I or General Formula II, and Y is at any other  
position.

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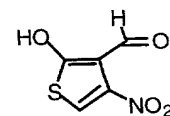
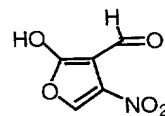
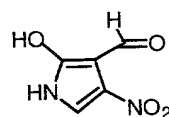
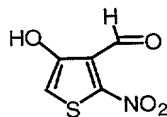
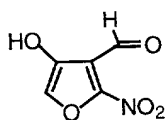
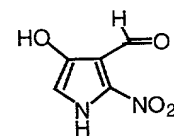
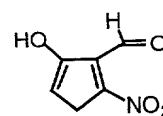
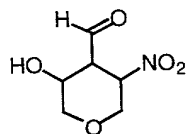
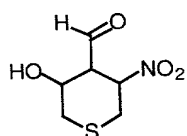
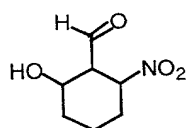
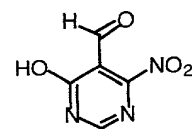
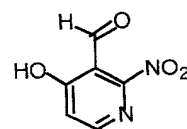
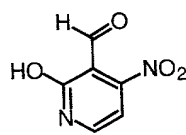
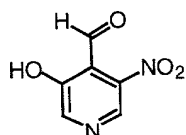
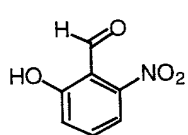
8. A method according to claim 7, in which the XH group is at position 2.

5 9. A method according to any one of claims 1 to 8, in which Y is at position 6.

10. A method according to claim 9, in which Y is NO<sub>2</sub>.

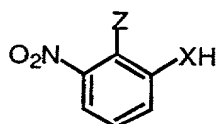
10 11. A method according to any one of claims 1 to 4, in which the auxiliary compound is selected from the group consisting of

15



12. A method according to claim 1 for synthesis of a cyclic peptide, a large peptide, or a difficult peptide, in which the auxiliary compound is of General Formula III

5



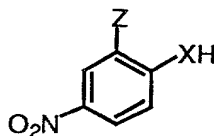
III

and the auxiliary compound is removed by photolysis following amide bond formation.

10

13. A method according to claim 1 for synthesis of a cyclic peptide, a large peptide, or a difficult peptide containing one or more substituted amide bonds, in which the auxiliary compound is not removed, and the auxiliary compound is of General Formula IV

15



20

IV

14. A method of

a) synthesis of a compound selected from the group consisting of linear and cyclic peptides, large peptides with a native peptide backbone, and "difficult" peptide sequences,

25

b) backbone linkage for the synthesis of peptides, C-terminal modified peptides, or

30

c) on-resin cyclisation,

- 74 -

comprising the step of linking a cyclic auxiliary compound of General Formula I, General Formula II, General Formula III, or General Formula IV to an amine nitrogen atom, thereby to facilitate conversion of the amine to an amide.

15. A method according to claim 14, in which XH in General Formula III is at position 2, and Y is NO<sub>2</sub> at position 6.

16. A method according to claim 1 or claim 15, in which R<sup>3</sup>, R<sup>4</sup>, R<sup>5</sup> and R<sup>6</sup> are independently selected from the group consisting of hydrogen, alkyl, aryl, heteroaryl, hydroxy, alkoxy, aryloxy, and a covalent linkage to a solid support.

17. A method of synthesis of a cyclic peptide, comprising the steps of

- a) synthesising a linear peptide to be cyclised,
- b) linking an auxiliary compound as defined in any one of claims 1 to 11 to a desired primary amine of the linear peptide,
- c) activating a desired carboxylic acid to effect cyclisation, and where necessary inducing ring contraction, and optionally
- d) removing the auxiliary compound after complete N-acylation.

18. A method according to claim 17, in which ring contraction is induced by heating or by addition of a metal.

19. A method according to claim 17 or claim 18, in which the auxiliary compound is of General Formula III, and the auxiliary compound is removed by photolysis.

20. A method according to any one of claims 17 to 19, in which steps a) to d) are performed on a solid support,

and are followed by cleavage of the cyclic product from the solid support, and if desired, removal of side chain protecting groups.

5 21. A method according to any one of claims 17 to 19, in which activation of the C-terminal carboxylic acid is performed in the presence of an auxiliary compound of General Formula III, and the cyclisation is performed by attaching the auxiliary compound to the desired amine via  
10 the Z-group.

22. A method of synthesis of a large peptide with a native peptide backbone, comprising the steps of  
a) synthesising a set of peptide fragments to  
15 be linked to form a large peptide,  
b) linking an auxiliary compound as defined in any one of claims 1 to 11 to the primary amine of the first peptide fragment,  
c) activating the carboxylic acid of the second  
20 peptide fragment,  
d) adding the second peptide fragment to the first peptide fragment and forming a peptide bond between the two fragments, and optionally  
e) removing the auxiliary compound after N  
25 acylation is complete.

23. A method according to claim 21, in which the auxiliary compound is of General Formula IV, and the auxiliary compound is removed by photolysis.  
30

24. A method of synthesis of a difficult peptide sequence, comprising the steps of  
a) linking an auxiliary compound as defined in any one of claims 1 to 10 to one or more nitrogen atoms in  
35 peptide bonds of a peptide linked to a solid support,  
b) synthesising the complete peptide using standard solid phase synthesis methods, and optionally

c) when synthesis is complete, removing the auxiliary compound.

25. A method according to claim 24, in which the auxiliary compound is of General Formula III, and the auxiliary compound is removed by photolysis.

26. A method of backbone linkage for synthesis of a linear peptide, comprising the steps of

- 10 a) using an auxiliary compound as defined in any one of claims 1 to 11 as a linker linking the  $\alpha$ -nitrogen of an acid residue in the desired peptide to a solid support,
- b) assembling the linear peptide using standard  
15 solid phase peptide synthesis methods, and optionally
- c) removing the side chain protecting group(s), and/or
- d) cleaving the peptide from the solid support.

20 27. A method according to claim 26, in which the carboxylic acid group of the C-terminal amino acid residue is replaced by a functional group.

28. A method according to claim 26, in which the  
25 carboxylic acid group of the C-terminal amino acid residue is replaced by an ester, alkylalcohol, acetal or amide group.

29. A method according to any one of claims 26 to 28,  
30 in which Y is nitro in position 6, XH is in position 2, and cleavage is performed by photolysis.

30. A method of on-resin cyclisation of a linear peptide, comprising the steps of

- 35 a) using an auxiliary compound as defined in any one of claims 1 to 11 as a linker linking the  $\alpha$ -nitrogen of an amino acid residue in the desired peptide to

a solid support,

b) synthesising a linear peptide on a solid support, using standard solid phase peptide synthesis methods,

5 c) deprotecting the desired amine and carboxylic acid groups,

d) activating the carboxylic acid group to perform cyclisation, and optionally

10 e) deprotecting amino acid side chain groups, and/or

f) cleaving the cyclic peptide from the solid support.

31. A method according to claim 30, in which Y is a  
15 nitro group in position 6, XH is in position 2, and cleavage is performed by photolysis.

32. An auxiliary compound according to any one of  
General Formulae I, II, III or IV as respectively defined  
20 in claims 1, 12 and 13, linked to a support suitable for solid phase peptide synthesis.

33. An auxiliary compound linked to a support, as  
defined in claim 32, in which the support is selected from  
25 the group consisting of functionalised polystyrene resins, tentagel resins, and polyethyleneglycol resins.

34. A kit for use in synthesis of a peptide, cyclic peptide, comprising:  
30 a) an auxiliary compound as defined in any one of claims 1 to 11, or  
b) an auxiliary compound as defined in any one of claims 1 to 11, linked to a solid support, together with one or more reagents for solid phase peptide synthesis.



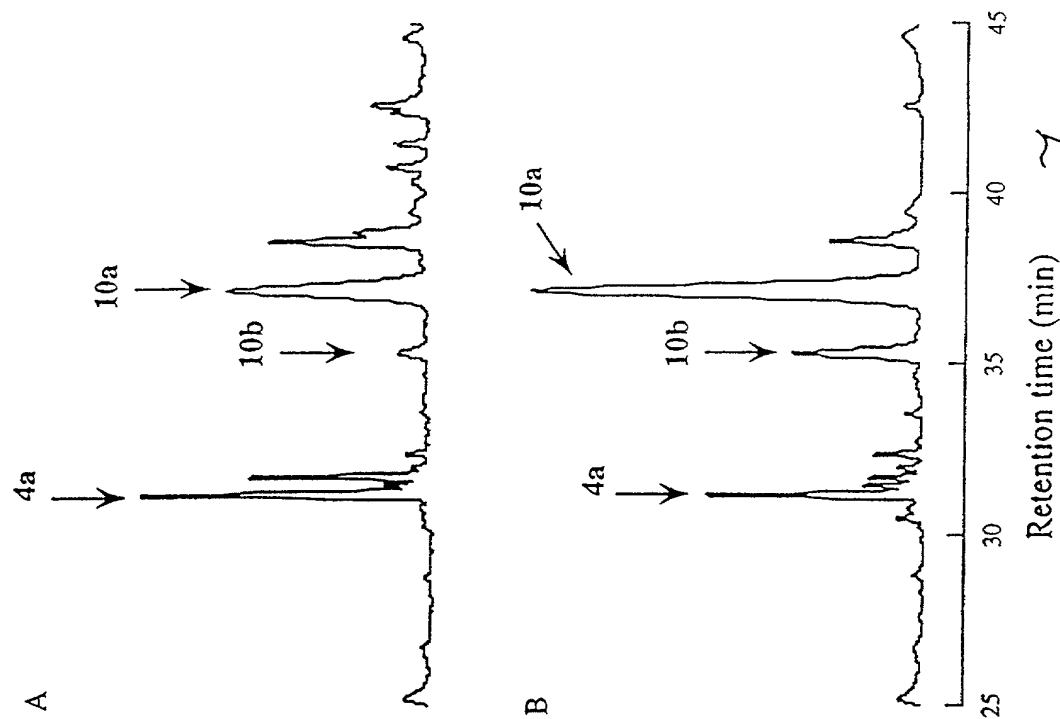


Fig. 2

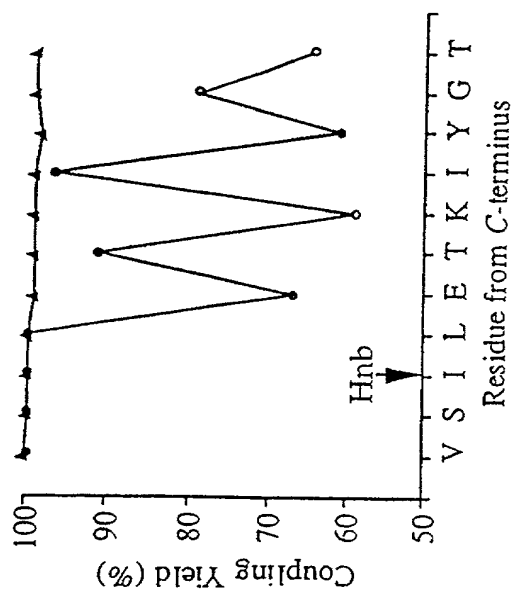
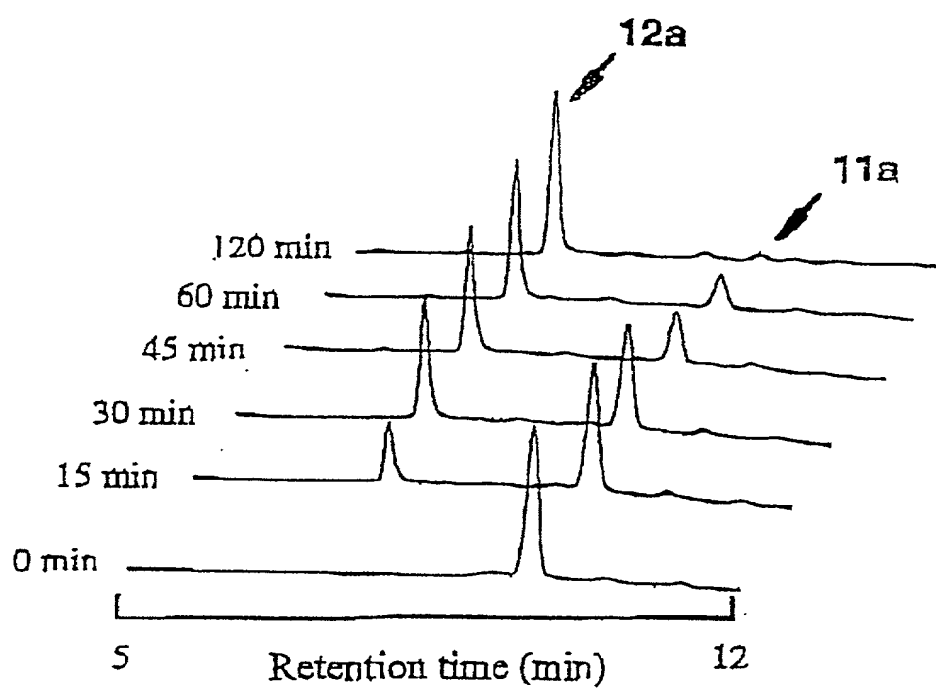


Fig. 1

*Fig. 3*

**DECLARATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or the below named inventors are the original, first and joint inventors (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **Auxiliary for Amide Bond Formation**, the Specification of which:

- ☐ is attached hereto.  
☒ was filed on **March 22, 2001** as Application Serial No. **09/787,840**.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability of the subject matter claimed in this application, as "materiality" is defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim priority benefits under Title 35, United States Code, § 119 and/or § 365 of any foreign application(s) for patent or inventor's certificate, PCT international application(s), and United States provisional application(s), listed below and have also identified below any foreign application for patent or inventor's certificate, PCT international application, or United States provisional application, having a filing date before that of the application on which priority is claimed:

PRIORITY APPLICATION(S)			Priority Claimed
PCT/AU99/00812	PCT	September 24, 1999	Yes
(Number)	(Country)	(Date Filed)	Yes/No
PP 6165	Australia	September 25, 1998	Yes
(Number)	(Country)	(Date Filed)	Yes/No

I hereby claim the benefit under Title 35, United States Code, § 120 and/or § 365 of any United States application(s) and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose all information known to me to be material to patentability of the subject matter claimed in this application, as "materiality" is defined in Title 37, Code of Federal Regulations, § 1.56, which becomes available between the filing date of the prior application and the national or PCT international filing date of this application:

N/A


(Application Serial No.) (Filing Date) (Status)

(Application Serial No.) (Filing Date) (Status)

I hereby direct that all correspondence and telephone calls be addressed to Shelley P.M. Fussey, Williams, Morgan & Amerson, P.C., 7676 Hillmont, Suite 250, Houston, Texas 77040, (713) 934-7000.


I hereby declare that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

1-00

Inventor's Full Name:	<u>Mark</u>	<u>Leslie</u>	<u>Smythe</u>
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2-00

705040-0000

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Mark Leslie Smythe and  
Wim Denis Frans Meutermans

Group Art Unit: Unknown

Serial No.: 09/787,840

Examiner: Unknown

Filed: March 22, 2001

Atty. Dkt. No.: 4050.001100

For: Auxiliary for Amide Bond Formation

**ELECTION UNDER 37 C.F.R. §§ 3.71 AND 3.73  
AND POWER OF ATTORNEY**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The undersigned, being Assignee of record of the entire interest in the above-identified application by virtue of an assignment recorded in the United States Patent and Trademark Office as set forth below, hereby elects, under 37 C.F.R. § 3.71, to prosecute the application to the exclusion of the inventors.

The Assignee hereby revokes any previous Powers of Attorney and appoints:

Danny L. Williams, Reg. No. 31,892; Terry D. Morgan, Reg. No. 31,181; J. Mike Amerson, Reg. No. 35,426; Kenneth D. Goodman, Reg. No. 30,460; Jeffrey A. Pyle, Reg. No. 34,904; Randall C. Furlong, Reg. No. 35,144; Scott F. Diring, Reg. No. 35,119; George J. Oehling, Reg. No. 40,471; Shelley P.M. Fussey, Reg. No. 39,458; Mark D. Moore, Reg. No. 42,903; Louis H. Iselin, Reg. No. 42,684; Raymund F. Eich, Reg. No. 42,508; Bradley A. Misley, Reg. No. 46,937; Thomas H. Belvin, Jr., Reg. No. 43,491; Daren C. Davis, Reg. No. 38,425; and Stephanie A. Wardwell, Reg. No. 48,025;

each an attorney or agent of the firm of WILLIAMS, MORGAN & AMERSON, P.C., as its attorney or agent for so long as they remain with such firm, with full power of substitution and revocation, to prosecute the application, to make alterations and amendments therein, to transact all business in the Patent and Trademark Office in connection therewith, and to receive any Letters Patent, and for one year after issuance of such Letters Patent to file any request for a certificate of correction that may be deemed appropriate.

09,787,840

(16)

Pursuant to 37 C.F.R. § 3.73, the undersigned has reviewed the evidentiary documents, specifically the Assignment to The University of Queensland, referenced below, and certifies that to the best of my knowledge and belief, title remains in the name of the Assignee.

Please direct all communications as follows:

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ASSIGNEE:  
THE UNIVERSITY OF QUEENSLAND

By: Douglas Porter  
Name: \_\_\_\_\_  
Title: DOUGLAS PORTER  
Secretary and Registrar  
The University of Queensland  
Date: 14/5/01

ASSIGNMENT:

☒ Concurrently filed  
☐ Previously recorded  
Date: \_\_\_\_\_  
Reel: \_\_\_\_\_  
Frames: \_\_\_\_\_